

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

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EXECUTIVE DIRECTOR
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William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Tuesday, June 18, 2013 10:00 a.m.

James R. Thompson Center – Room 9-040
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Approval of the minutes from the May 20 meeting. (pgs.1-7)
2. Recognition of outgoing Board Member, Judith Rice.
3. Report of the General Counsel
 - a. Campaign Disclosure;
Motion to vacate board order
 - 1) *SBE v. We The People – Will County*, 24002, 13DQ146; (pgs.8-9)Motion for reconsideration
 - 2) *SBE v. Committee for John J. Curry*, 21011, 12MA106; (pgs.10-13)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
 - 3) *SBE v. Citizens for Darin LaHood*, 23532, 12AS071; (pgs.14-18)
 - 4) *SBE v. Friends of Chuck Erickson*, 24318, 13DQ164; (pgs.19-26)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
 - 5) *SBE v. Regular Republican Party of River Forest*, 226, 12MA095; (pgs.27-30)
 - 6) *SBE v. 42nd Ward Republican Organization*, 15431, 12SQ048; (pgs.31-34)
 - 7) *SBE v. 42nd Ward Regular Republican Organization*, 20312, 12SQ091; (pgs.35-38)
 - 8) *SBE v. 32nd Ward Regular Republican Organization*, 20557, 11MA105; (pgs.39-45)
 - 9) *SBE v. 1st Ward Democratic Committeeman Fund*, 21057, 12AM099; (pgs.46-49)
 - 10) *SBE v. Citizens for Michael Bram*, 22035, 12DQ101; (pgs.50-52)
 - 11) *SBE v. Joy Cunningham for Justice*, 23691, 12AM108; (pgs.53-64)
 - 12) *SBE v. Friends of Martin A. Blumenthal*, 23738, 13DQ139; (pgs.65-67)
 - 13) *SBE v. Citizens to Elect William S. Boyd*, 23872, 12MQ298; (pgs.68-70)
 - 14) *SBE v. Friends of Vivian Covington*, 24877, 13MA037; (pgs.71-75)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted and denied
 - 15) *SBE v. Friends of Brian E. Sleet*, 22880, 13MA015; (pgs.76-80)
 - 16) *SBE v. Joy Cunningham for Justice*, 23691, 12MA112; (pgs.81-89)

Other campaign disclosure items

17) Payment of civil penalties – informational; (pg.90)

Complaints following closed preliminary hearing (separate packet)

18) *SBE v. Friends of Mary Russell Gardner*, 13CD063; (pgs.1-3)

19) *Jones, Jr. v. Citizens for Dwight W. Welch*, 13CD125; (pgs.4-13)

20) *Halley v. Brummel*, 13CD126; (pgs.14-25)

21) *Jakobsze v. Beckman*, 113CD127; (pgs.26-34)

22) *Jakobsze v. Downers Grove Community Advocates*, 13CD128; (pgs.35-65)

23) *Woll v. Perkins*, 13CD131. (pgs.66-72)

4. Report of the Executive Director

a. 2013 Consent Decree update; (pg.91)

b. Legislative update; (pgs.92-95)

1) FY14 budget update; (oral report)

c. 2013 Heartland Conference; (pgs.96-98)

d. IVRS update – informational; (pgs.99-102)

e. Census data versus registered voters; (pg.103)

f. Fiscal status reports – informational;

1) FY13 – month ending May 31; (pgs.104-111)

2) FY13 – Help Illinois Vote Fund; (pgs.112-117)

g. Two year plan of staff activity for the months of June & July – informational.
(pgs.118-120)

5. Follow up. (pg.121)

6. Comments from the general public. (pg.121)

7. Next regular Board meeting at 10:30 a.m. on Monday, July 1, 2013 in Springfield. (pg.121)

8. Executive session. (pgs.122-129)

STATE BOARD OF ELECTIONS

Regular Meeting

Monday, May 20, 2013

MINUTES

PRESENT:

William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
Judith C. Rice, Member
Bryan A. Schneider, Member
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The meeting convened at 10:40 a.m. via videoconference with all Members present. Chairman McGuffage, Vice Chairman Smart and Members Byers, Coffrin, Gowen and Scholz were present in Springfield and Members Rice and Schneider present in Chicago.

Chairman McGuffage opened the meeting by leading everyone in the pledge of allegiance.

Vice Chairman Smart moved to approve the minutes from the April 16 meeting as presented. Member Scholz seconded the motion which passed unanimously.

The Chairman presented a resolution to retiring Mason County Clerk, William Blessman and asked him to step forward. Vice Chairman Smart commended Mr. Blessman for his service and dedication to improve relations between the election authorities and the SBE and then proceeded to read the resolution aloud. Mr. Blessman thanked the Board and said he was very humbled and also proud that he could assist with improving the relationship between the Clerks and the Board and also thanked the SBE staff for their competency and professionalism.

Chairman McGuffage indicated that Agenda item 4.d, Dominion Voting was going to be considered next and asked Dianne Felts to proceed. For informational purposes, she noted that WinEDS 4.0 has been withdrawn from the Election Assistance Commission's certification and testing program. Only two jurisdictions, Cook County and the City of Chicago, use the system and have been for the past eight elections without any problems. Ms. Felts said the timeline of events was outlined in her memo on pages 178-179 of the Board packet and that this was for informational purposes. She noted that Illinois has adopted the voluntary federal voting system standards that the system must meet the mandatory requirements of the voting system standards promulgated by the EAC and has met the testing requirements by an approved independent testing authority and the rules of the SBE. Ms. Felts introduced Jim Scanlon from the City of Chicago and Noah Praetz from Cook County and both indicated the voting system has been used without incident and have no concerns with continued use of the equipment. Howard Kramer, Vice President of Dominion Voting was present and gave a brief history of their

acquisition of Sequoia and indicated they have two successful test reports that demonstrate compliance and recommendations to the EAC for certification.

Ms. Felts presented Dominion's SSL certificate updates to GEMS 1.18.24D & Ballot Station 4.6.4D and said this was similar to last month's SSL certificate updates in that they did not have to be tested because it is a communication and security feature. Ms. Felts stated that the expiration date has been updated to 2027 and recommended the approval. Vice Chairman Smart moved to approve the updated SSL certificates for two years. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The Chairman returned to the report of the General Counsel and motions for reconsideration. The General Counsel presented Agenda item 3.a.1, *SBE v. Cass County Republican Central Committee*, 5050, 12SQ022 and recommended the motion be denied because it is the responsibility of the committee to provide timely updates of pertinent information to the SBE. No one was present on behalf of the respondent committee. Chairman McGuffage moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 3.a.2, *SBE v. Palatine Republican Township Organization*, 22664, 12MA088 and reviewed the matter. He recommended the motion be denied because it was filed beyond the seven day requirement, however, he noted that the two contributions were only reported one day late and recommended the Board consider that fact favorably in its evaluation of the committee's settlement offer. John Fogarty was present on behalf of the respondent committee and said he understands that the motion was not timely filed and was not counsel at the time the Board originally considered the matter. He noted that a good faith effort was made by the committee to meet all disclosure requirements and offered a settlement of \$1,000. Member Schneider moved to deny the motion for reconsideration and accept the settlement offer of \$1,000 to be paid within thirty days. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to grant the appeals for Agenda items 3.a.3-7, 9-13 & 15:

- 3) *SBE v. Democratic Party of Oak Park*, 598, 12AS059;
- 4) *SBE v. Associated Fire Fighters of IL PAC*, 1107, 12AS062;
- 5) *SBE v. Citizens for Joe Shetina*, 4688, 13DQ014;
- 6) *SBE v. Citizens for Tony Arredia*, 15625, 12AM127;
- 7) *SBE v. Dan Kotowski for State Senate*, 19108, 12AJ087;
- 9) *SBE v. Citizens for Andrew Rand*, 20872, 13DQ083;
- 10) *SBE v. Friends of Sue Rezin*, 22250, 12AS068;
- 11) *SBE v. Citizens for Brendan Kelly*, 22425, 13DQ111;
- 12) *SBE v. Southwestern Illinois CLC PAC*, 22895, 13DQ119;
- 13) *SBE v. Miller for Senate*, 24065, 13DQ149;
- 15) *SBE v. Friends of Rob Hanlon*, 24742, 13DQ212.

No one was present on behalf of the respondent committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel for the above listed appeals. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 3.a.8, *SBE v. Citizens for Stephanie D. Neely*, 20063, 12JQ185 and recommended the appeal be denied because of the absence of a satisfactory answer as to why the Treasurer waited so long to file the report. Several attempts have been made to get an answer, with no response from the Treasurer. No one was present on behalf of the respondent committee. Vice Chairman Smart moved to accept the recommendation of the General Counsel to deny the appeal unless the Treasurer responds with a satisfactory explanation within five business days from the date of the Board order. Member Scholz seconded the motion which passed by roll call vote of 7-0 (Member Rice recused herself from the matter).

The General Counsel presented Agenda item 3.a.14, *SBE v. First Orland Party*, 24649, 13AD047 and recommended the appeal be denied because the electronic filing defense was not appropriate in this situation. No one was present on behalf of the respondent committee. Vice Chairman Smart moved to accept the recommendation of the General Counsel and deny the appeal. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 3.a.28, *SBE v. Hanover Park Progress*, 21267, 13DQ086 and concurred with the hearing officer recommendation to deny the appeal. He also noted that the committee offered a settlement of \$100, however, it is normal practice to accept offers of no less than 50% of the penalty. No one was present on behalf of the respondent committee. Vice Chairman Smart moved to accept the recommendation of the General Counsel to deny the appeal and accept an adjusted settlement offer of \$200. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 3.a.21, *SBE v. Citizens to Elect Cheri L. Neal*, 12684, 12SQ205 and concurred with the hearing officer recommendation to deny the appeal. He also recommended that the assessed penalty be based on the report being filed three days late resulting in a \$75 fine. No one was present on behalf of the respondent committee. Vice Chairman Smart moved to accept the recommendation of the General Counsel. Member Scholz seconded the motion which passed by roll call vote of 8-0.

In response to a question about electronic filing issues, Sharon Steward stated that a new web-based version of IDIS 3.0 will be rolled out in August and users will no longer have to download software on their computers. Beta testers are currently using the new program and are not experiencing any issues.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 3.a.17-20, 22, 24-27, 29-30, 32-34, 36 & 38-40:

- 17) *SBE v. Concerned Citizens for Education*, 5055, 13DQ016;
- 18) *SBE v. Bremen Township Republican Organization*, 5989, 12AM091;
- 19) *SBE v. Citizens for Peschke*, 6270, 13DQ021;
- 20) *SBE v. Friends of Leslie Sgro*, 6927, 13DQ022;
- 22) *SBE v. Citizens for David E. Miller*, 14923, 13DQ044;
- 24) *SBE v. Vernon Township Republican Central Committee*, 19724, 13DQ068;
- 25) *SBE v. Friends of Rodney S. Craig for Village President*, 20202, 13DQ075;
- 26) *SBE v. Committee to Elect John J. Curry*, 21011, 12MA106;
- 27) *SBE v. Brian Gustafson for Coroner*, 21070, 13DQ085;
- 29) *SBE v. Citizens for Kevin Horstman*, 21902, 12JQ080;

- 30) *SBE v. Citizens to Elect Dan Koukol*, 22899, 13DQ120;
- 32) *SBE v. Friends of Lisa Ciampoli*, 23080, 13DQ123;
- 33) *SBE v. Citizens for Lori S. Yokoyama*, 23123, 12AS070;
- 34) *SBE v. Local 943 PAC*, 23621, 12SQ212;
- 36) *SBE v. Collinsville Township Republicans*, 24482, 13DQ176;
- 38) *SBE v. Citizens for Somonauk Schools*, 24768, 13DQ218;
- 39) *SBE v. Pride in River Forest*, 24790, 13DQ222;
- 40) *SBE v. Rick Lehew*, 24794, 13DQ225.

No one was present on behalf of the respondent committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel for the above listed appeals. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 3.a.16, *SBE v. Illinois Homebuilders Political Education Committee*, 295, 12MA096 and concurred with the hearing officer recommendation to deny the appeal because the committee failed to explain the late filing for the June quarterly report. Bill Ward and Evelyn Yowell were present on behalf of the respondent committee. Mr. Ward explained that Ms. Yowell worked diligently to re-file reports dating back to 2004 and took approximately 250 hours to accomplish the task. When she went to file the June and October reports the computer would not accept them because they were too large so SBE staff performed overrides to fix the issue. He further claimed that the system would not accept the two current reports at issue, in the absence of filing the past amended reports. Mr. Ward said everything is up to date and felt this penalty was unfair in this situation. Discussion ensued regarding the applicability of the electronic filing defense in this matter. Chairman McGuffage moved to grant the appeal. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 3.a.23, *SBE v. Citizens for Leon Rockingham*, 17700, 12AS066 and concurred with the hearing officer recommendation to deny the appeal for lack of an adequate defense. Tameka Wilson was present on behalf of the respondent committee and said she was dealing with the death of her mother (who was the previous Treasurer) and hospitalization of her newborn and was not aware of the changes that occurred in the law. Ms. Wilson apologized for the errors and offered a settlement of \$440. Member Scholz moved to accept the recommendation of the General Counsel and the \$440 settlement offer. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 3.a.31, *SBE v. Citizens to Elect Bellar*, 23011, 12AJ075 and concurred with the hearing officer recommendation to deny the appeal because the receipt date and the filing date of the report was more than five business days late. Vivian Porter was present on behalf of the respondent committee and asked the Board to accept the hearing officer's recommendation to abate the fine because the committee is closed and inactive. Member Schneider moved to accept the recommendation of the General Counsel and abate the fine if the committee remains inactive for a two year period. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 3.a.35, *SBE v. Committee to Elect Cathy Cawiezel*, 24131, 13DQ154 and concurred with the hearing officer recommendation to deny the appeal for lack of an adequate defense. Cathy Cawiezel was present on behalf of the respondent committee and said that the late filing was an honest mistake and she misunderstood the deadlines. She asked if the Board would consider a five percent settlement

offer and was informed that the usual practice is no less than fifty percent. Ms. Cawiezel then offered a twenty-five percent settlement offer. After discussion, Member Scholz moved to accept a settlement offer of twenty-five percent which would result in a \$750 penalty. Vice Chairman Smart seconded the motion which passed by roll call vote of 6-2 with Members Coffrin and Gowen voting in the negative.

The General Counsel presented Agenda item 3.a.37, *SBE v. Friends for Warren L. Dixon*, 24528, 12SQ213 and concurred with the hearing officer recommendation to deny the appeal for lack of an adequate defense. Warren Dixon was present on behalf of the respondent committee and said he experienced technical issues while filing the reports and using the electronic filing software. Member Scholz moved to grant the appeal based on the electronic filing defense. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda items 3.a.41-42, *SBE v. Citizens for Grayeb*, 24753, 13MA036 and *SBE v. Friends of Bill Haine*, 5777, 12JQ-CL001 and concurred with the hearing officer recommendation to grant in part and deny in part item 3.a.41 and grant the appeal in item 3.a.42. No one was present on behalf of the respondent committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel in the above noted appeals. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

A listing of civil penalty assessments necessitating a final board order was presented. Vice Chairman Smart moved to issue final board orders for those committees listed on pages 164-171 of the Board packet. Chairman McGuffage seconded the motion which passed by roll call vote of 8-0.

An update on random audits of political committees and a listing of payment of civil penalties was presented for informational purposes.

Member Scholz moved to recess to executive session to consider a matter following closed preliminary hearing that motion was agreed to unanimously. The meeting recessed at 12:30 p.m. and reconvened at 12:42 p.m.

As to Agenda item 3.a.54, *Zurek v. Village of Franklin Park*, 13CD107, Member Schneider moved to deny the motion for reconsideration. Member Rice seconded the motion which passed by roll call vote of 8-0.

After a short break, Member Scholz moved to recess to executive session to consider complaints, personnel matters and pending litigation. Member Byers seconded the motion which passed unanimously. The meeting recessed at 1:25 p.m. and reconvened at 2:20 p.m.

Member Schneider moved to dismiss for lack of prosecution or in the alternative find the following complaints following closed hearing to not have been filed on justifiable grounds for Agenda items 3.a.47-52:

- 47) *Cicero Voter's Alliance v. Citizens for Juan Ochoa*, 13CD097;
- 48) *Cicero Voter's Alliance v. Citizens for Juan Ochoa*, 13CD100;
- 49) *Zender v. Michael Scott Carter for Illinois*, 13CD105;
- 50) *Mustafa v. Lake Villa Township Baseball League*, 13CD122;
- 51) *Mustafa v. Lake Villa 1st*, 13CD123;
- 52) *Mustafa v. Lake Villa Township Republican Club*, 13CD124.

Member Rice seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 3.a.53, *SBE v. Taking Back America*, 13CD090, Member Schneider moved to find that the complaint was filed on justifiable grounds and order that the matter proceed to a public hearing. Member Rice seconded the motion which passed by roll call vote of 8-0.

Member Schneider moved to appoint Kyle Thomas as the Director of the newly formed Voting and Registration Systems division effective July 1. Member Rice seconded the motion which passed unanimously. Necessary formalities concerning that appointment will be addressed at the June Board meeting.

The Executive Director began his report with an update of the Advisory Committee Meeting that was held prior to the Board meeting. Items discussed included voting systems testing, electronic canvassing, the EAC link report, online voter registration legislation and an update on the statewide database. Advisory Committee reformation will take place in June and July and the newly constituted Committee will convene on September 16. Mason County Clerk Bill Blessman was also recognized by the Committee for his service and pending retirement.

The Executive Director discussed the results from the April 9, 2013 Election and noted that the Board previously gave staff the authority to proclaim those results on May 10 for the 2nd Congressional District and Fox Waterway Agency. The canvasses were submitted early so staff certified the results on May 7.

The Executive Director gave an update on late precinct reporting and indicated only three jurisdictions, Clinton and St. Clair Counties and the Chicago Board of Election Commissioners did not have all of their precincts reporting by 2:00 a.m. He noted that Dianne Felts began this survey approximately six years ago when some jurisdictions were experiencing late reporting and it was agreed that Kyle Thomas would continue this process for each election.

Next was the legislative update and Cris Cray informed the Board that the Senate adjourned for the day without hearing executive appointments but noted that session adjourns in fourteen days so she was confident that it would get done. She reported that language was received for the omnibus election bill and it contained online voter registration and electronic canvass matters. House Bill 226 is on the Governor's desk and it deals with lowering the voting age to seventeen for General Primary Elections provided the voter will be eighteen by the General Election. Finally, an appropriations committee meeting is scheduled for Wednesday at 2:00 p.m., however, the Agency's budget had not been revealed.

A Campaign Finance Reform Task Force Public Hearing and Meeting were held on April 22 in anticipation of a report that is required to be completed. No recommendations were given at that meeting and the next meeting will be scheduled in June.

Kyle Thomas gave a brief IVRS update and noted that no real changes took place from last month but he expects those duplicate matches to decrease over next few months as jurisdictions complete their voter purges. As far as the census data versus registered voters, he indicated that staff is working with Clark County and East St. Louis Board of Election Commissioners to bring down their percentages.

The Executive Director discussed the required review of executive session minutes and said they were reviewed for the period of October 16, 2012 through March 18, 2013. The only portions of the minutes that cannot be released are those involving discussion of personal information of two employees on disability leave. Member Scholz move to adopt the recommendation of the General Counsel outlined in his memo on page 194 of the Board packet. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The fiscal reports and two year plan of staff activity were presented for informational purposes. The Executive Director said that expenditures to date are on target and will continue to be monitored.

Chairman McGuffage indicated that he prepared a letter addressed to the four leaders regarding the procurement policy matter and will be sent to all of the Board Members for their review and comments to be discussed at the next Board Meeting.

With there being no further business before the Board, Member Scholz moved to adjourn until 10:00 a.m. on Tuesday, June 18, 2013 in Chicago. The motion passed unanimously. The meeting adjourned at 2:45 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director



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STATE OF ILLINOIS)
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STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 13 DQ 146
)
We The People - Will County)
 Respondent(s).)

FINAL ORDER

TO: We The People - Will County ID# 24002
 PO Box 9064
 Naperville, IL 60567

This matter coming to be heard this 20th day of May, 2013 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 13 DQ 146, a \$25.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2012 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee.

IT IS ORDERED:

1. A civil penalty in the amount of \$25.00 is imposed and is stayed as a first violation; and
2. The effective date of this Order is May 22, 2013, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 5/22/2013


William M. McGuffage, Chairman

13 MAY 29 PM 1:36

Committee for John J. Curry

P.O. Box 641065

Chicago, IL 60664

May 29, 2013

Via email (jronymous@elections.il.gov) and US Mail

Jennifer Ronymous

Illinois State Board of Elections

PO Box 4187

Springfield, IL 62704

RE: Appeal of Committee for John J. Curry, Committee No. 21011

Appeal of 32nd Ward Regular Republican Organization, Committee No. 20557

Dear Ms. Ronymous:

I am the Chairman of the 32nd Ward Regular Republican Organization and the Committee for John J. Curry. I write as the representative of both organizations. I write this letter pursuant to your specific instruction given to me by telephone on May 28, 2013 upon your consultation with Steve Sandvoss, counsel to the Board.

I hereby move to vacate the Board's decision of May 20, 2013 on the appeal of the Committee for John J. Curry ("Curry Committee") and to reschedule the appeal for June 18, 2013, the same date currently set for the appeal of the 32nd Ward Regular Republican Organization ("32nd Ward"), both of which I am handling as chairman of both committees. The grounds for this motion are as follows.

Both committees had been cited for civil assessments on nearly identical grounds for nearly identical infractions. Both committees, at my request, were subject to a hearing on February 14, 2013 before a hearing officer (Andy Nauman) on nearly identical appeals. Prior to that date, I had requested, through you, via email on February 11, 2013, that both hearings be scheduled for the same date and time. See attached email. My request was allowed, and both hearings on both committees took place before Mr. Nauman on February 14, 2013. However, subsequent to that hearing, the hearing officer, Mr. Nauman, issued findings as to the 32nd Ward on April 5th, 2013, but did not issue findings on the Curry Committee.

The April 5th findings as to the 32nd Ward, noted above, indicated that the appeal would be heard at the April 16th Board of Elections hearing. Accordingly, on or about April 12, 2013, I telephoned you (Jennifer Ronimous) and asked whether I would be required to conduct two appeal hearings before the Board on the 32nd Ward and on the Curry Committee or whether they could be consolidated on the same date as the hearings before Nauman had been. You stated that I could again have the appeals consolidated for the same date for hearing and that the 32nd Ward appeal and the Curry Committee appeal would be rescheduled for the June hearing date for the Board (June being requested by me as opposed to May so that I could attend the hearing in Chicago (June) as opposed to Springfield (April)). You stated in that phone call that no further action need be taken by me and that your scheduling over the phone would suffice for having both matters being rescheduled for June.

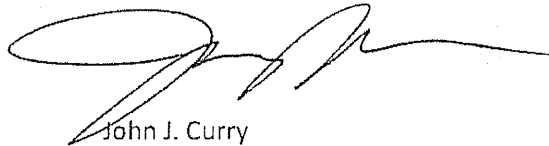
I had checked my P.O. Box (P.O. Box 641065, Chicago, IL 60664) on several days in between May 9th and May 21st, 2013, including May 21st, but no mail from the Board was delivered to me on those days. On May 28, 2013, I retrieved my mail from my P.O. Box in Chicago, which serves both the 32nd Ward and the Curry Committee. On that day, I observed two letters from the Board. The first letter was postmarked May 9, 2013, and contained Andy Nauman's findings as to the Curry Committee and scheduling the Board's hearing on the Curry Committee appeal for the May 20th hearing, contrary to your indications to me over the phone in April. The second letter was postmarked May 22nd, and contained an order issued by the Board on May 20, 2013, indicating that the Curry Committee appeal came before the Board on that date and that it was denied. I had checked my P.O. Box (P.O. Box 641065, Chicago, IL 60664) on several days in between May 9th and May 21th, 2013, including on May 21, 2013, and neither of those letters were delivered into my P.O. Box on those dates. I next checked the box on May 28, 2013, and that was the first date that both letters were in the box. Accordingly, I had no advance notice of the findings on the Curry Committee made on May 9, 2013, and no advance notice of the Board's scheduling of the Curry Committee appeal and findings for hearing on May 20, 2013. Therefore, I was unaware that the appeal was taken up by the Board on May 20, 2013 and no advocate was present on that date to make a presentation in support of my appeal. The notice setting the Curry Committee matter before the Board on May 20, 2013, and the Board's scheduling of the matter on May 20, 2013, were contrary to your personal assurance on or about April 12, 2013, that the Curry Committee appeal would be scheduled for June 18, 2013 before the board, along with the 32nd Ward appeal, and not on May 20, 2013.

I move to vacate the order of May 20, 2013, for the foregoing reasons, summarized as showing that you, Jennifer Ronimous, assured me on or about April 12, 2013, that my telephone request to reschedule both the 32nd Ward and the Curry Committee appeals for the June Board hearing was sufficient to obtain such a rescheduling and that you in fact performed that rescheduling, and that neither appeal would be put on the agenda for the May Board hearing. In addition, because the Hearing Officer's findings on the Curry Committee, made only eleven days before the Board's May hearing, and not delivered to the Curry Committee's address before May 21st or at any time before the hearing, meant that I and the Curry Committee did not have advance notice of the May scheduling. Neither I nor the Curry Committee had any reason to anticipate that the appeal would be scheduled for the May hearing due to your statement that, pursuant to my request, both appeals would be continued to the June

hearing. Due to the foregoing, the Curry Committee and I did not have a fair and reasonable opportunity to present the Curry Committee appeal to the Board, and the Board was given the impression that the appeal was abandoned, which it was not. It was always my intention, as expressed in my February 11, 2013 email attached and my April 12, 2013 telephone conference with you, to have both the 32nd Ward appeal and the Curry Committee appeal heard by the Board on the same day, and you responded on both occasions that they would be. The Curry Committee and I are entitled to rely on that assurance, to have the May 20, 2013 Curry Committee order vacated, and to have the Curry Committee appeal revived and heard at the June Board hearing as requested and assured.

Under penalties as law pursuant to Section 1-109 of the Illinois Code of Civil Procedure, I, the undersigned, certify that the statements set forth above in this document are true and correct.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John J. Curry", with a large, stylized initial "J" and a long horizontal flourish extending to the right.

Chairman, Committee for John J. Curry

32nd Ward Republican Committeeman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
Illinois State Board of Elections)
Complainant(s),)
Vs.) 12 MA 106
)
Committee for John J Curry)
Respondent(s).)

FINAL ORDER

TO: Committee for John J Curry 21011
PO Box 641065
Chicago, IL 60664

This matter coming to be heard this 20th day of May, 2013 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. In case number 12 MA 106, a \$2300.00 civil penalty was initially assessed against the Respondent for the delinquent filing of the March 2012 Quarterly report; appeal was taken from this assessment, and
2. In case number 12 MA 106, a \$1450.00 civil penalty was initially assessed against the Respondent for the delinquent filing of the June 2012 Quarterly report; appeal was taken from this assessment, and
3. The committee was previously assessed a penalty of \$25.00, which was stayed as a first violation, for the delinquent filing of the December 2010 Semi-annual report (11 DS 124). This assessment was not appealed, and
4. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be denied for lack of an adequate defense.

IT IS ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$3750.00 is imposed and the stay is lifted on the previously assessed penalty of \$25.00. The total amount of \$3775.00 is now due and owing within 30 days of the effective date of this Order, and
3. The effective date of this Order is May 22, 2013, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 5/22/2013


William M. McGuffage, Chairman

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AS 071

Citizens for Darin LaHood ID# 23532
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$5,000 contribution on 8/1/12, and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$2,500.

Kent Noble, the Treasurer of the Committee, filed a Request for Hearing, and submitted an Appeal Affidavit.

The hearing was held via telephone on May 9, 2013, with the Candidate, Darin LaHood representing the Committee. Mr. LaHood stated that the contribution in question was originally reported on a Schedule A-1 filed by the Committee on August 1, 2012. However, he says at that time the contributor was listed as Emily Hampton, but when the Committee prepared its Quarterly Report, it was discovered that the contributor was actually Brewer's Distributing, not Ms. Hampton. She had only signed a fundraising response card on behalf of the company. Mr. LaHood says the original A-1 could not be amended, but the correct information was listed on the Quarterly Report. A copy of the response card and a letter from Brewer's Distributing confirming the contribution made by Ms. Hampton on behalf of the company are included in the Committee's evidence.

Based on the evidence and Mr. LaHood's testimony, it is clear the contribution was in fact timely reported on a Schedule A-1, even though the contributor's name was initially misreported. I recommend the appeal be granted. (As of 3/31/13, this Committee reported a funds available balance of \$78,870.79.)



Tom Newman – Hearing Officer
May 16, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 23532

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Darin LaHood
11607 N Glenshire Dr
Dunlap, IL 61525-8810

7011 2970 0003 6442 9737

Dear Citizens for Darin LaHood:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Brewers Distributing	8/1/2012	\$5000	*		\$2500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the September Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

13 APR 11 PM 12:14

State of Illinois)
 PEORIA)
 County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
 ILLINOIS STATE BOARD OF ELECTIONS,)
)
 Complainant)

Vs.)
)
 Citizens For Darin LaHood)
)
 Respondent(s).)

Case No. 12AS071

APPEAL AFFIDAVIT

I, Kent Noble, the Treasurer of the
 (Name) (Chairman/Treasurer)
 Citizens For Darin LaHood
 (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

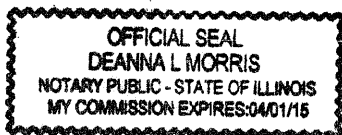
An A-1 was filed on August 1, 2012 for this contribution with Emily Hampton as the contributor. This was a credit card transaction and the response card indicated that Ms. Hampton's name is on the credit card. When preparing our quarterly report, we realized that the contribution was from Brewer's Distributing, not Emily Hampton and corrected the contributor name accordingly on the quarterly report. Since an A-1 cannot be amended, it was impossible to correct this information on the A-1.

Signed and Sworn to by:

Kent Noble
 before me this 7th Day of

April, 2013
Deanna L. Morris
 Notary Public

Kent A. Noble
 (Signature of Chairman/Treasurer)





STATE BOARD OF ELECTIONS

13 APR 11 PM 12:14

BREWERS DISTRIBUTING CO.

2421 W. Touadine Rd. • Peoria, IL 61615 • tel: (309) 692-2883 • fax: (309) 692-5703

April 1, 2013

Darin LaHood
Illinois State Senator 37th District
M103F State Capitol
Springfield, IL 62706

To Whom This May Concern,

This letter is to confirm a donation made by HR Director, Emily Hampton on behalf of Brewers Distributing Company in the amount of \$5,000 on August 1, 2012 (on her company credit card).

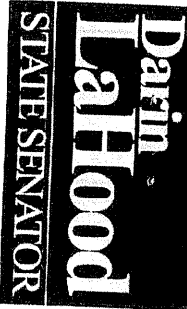
If you have any questions concerning Brewer's contribution to Senator LaHood please contact me at 309-692-2883.

Sincerely,

Katie Waddington, PHR
Human Resource Manager
kwaddington@brewersdist.com

13 APR 11 PM 12:14

309-369-1133 • mwebber@mtco.com



☐ YES, I/We will attend the fundraising luncheon for Darin LaHood with guest Mary Malin.

\$125 per person

\$2,500 Sponsorship (1 table)

\$1,250 Table Host

☒ \$5,000 Sponsorship (2 tables)

(Sponsorships include priority seating, signed books, recognition and photo)

☐ No, I/We cannot attend, but enclosed is a contribution in the amount of \$ _____.

Please charge my Visa, MasterCard, American Express or Discover in the amount of \$ 5,000

Number 4436 0370 4000 0570 Exp. Date 2/13 Security Code 208

Name on Card Emily Hampton Signature Emily Hampton

Campaign Finance laws require the following information:

Name Brewer's Distributing Company

Address 2421 W. Tennessee, Rd. Peoria, IL City Peoria State IL Zip 61615

Occupation 309-692-2083 Employer 309-692-5703 Phone Number Champaign

Fax Number Champaign E-mail bmwebber@mtco.com

No tickets issued. Check-in will be at the door. Make checks payable to Citizens for Darin LaHood • P.O. Box 10043 • Peoria, IL 61612
Paid for by Citizens for Darin LaHood. A copy of our report filed with the State Board of Elections is (or will be) available on the Board's official website (www.elections.il.gov) or for purchase from the State Board of Elections, Springfield, Illinois.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 164

Friends of Chuck Erickson

Cmte ID: 24318

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2012 Quarterly Report

The Report was received January 16th, 2013, 1 day late, resulting in an assessment of \$25. This is the Committee's first offense.

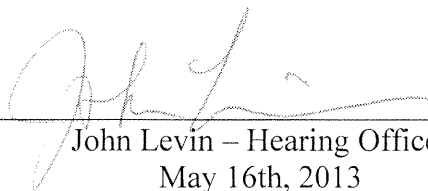
Josh Barnett, the Chairman of the Committee, filed an Appeal Affidavit in this matter. Mr. Barnett, Candidate Chuck Erickson and Treasurer Matthew Lauritzen all testified at a hearing conducted on May 16th, 2013, at 11:00am, at the Board's Springfield office, 2329 S. MacArthur Blvd.

Under 10 ILCS 5/9-10(b), "There shall be no fine if the Report is mailed and postmarked at least 72 hours prior to the filing deadline." In this case, a Report postmarked on or before January 12th, 2013, would be timely, regardless of when it was received by the Board.

At the appeal hearing, Mr. Lauritzen testified he dropped off the report using a drive-up window at the North Towanda Road U.S. Post Office facility in Bloomington just before noon on January 11th, 2013. He did not get a receipt or ask for a local postmark on the envelope. The Report was postmarked in Champaign, Ill., on January 14th, 2013. Mr. Erickson presented as part of the appeal news articles indicating post office consolidation has led to mail being routed from Bloomington to Champaign prior to delivery. He included as evidence correspondence from his Bloomington-based law practice that contained a Champaign postmark. Mr. Erickson also noted the Committee's record of full compliance with filing deadlines for its three other Quarterly Reports on file with the Board and testified the Committee is well aware of Report deadlines and respectful of the filing process.

Kyle Campos, a claims and inquiry clerk employed at the Towanda Road Post Office in Bloomington, confirmed that mail dropped off on weekdays at that facility is routed through Champaign. However, she stated it is standard practice for the mail to first be given a same-day postmark in Bloomington. Ms. Campos said it is possible the person responsible for collecting mail from the drive up window chute may have initially missed the envelope in question, which would explain why it wasn't routed to Champaign prior to January 14th.

This is a close call, but I recommend the appeal be granted. Ms. Campos offered a scenario making the Committee's defense possible and plausible. As of March 31, 2013, the Committee reported cash on hand of \$485.69.

A handwritten signature in dark ink, appearing to read "John Levin", is positioned above a horizontal line. The signature is fluid and cursive, with the first name "John" being more prominent than the last name "Levin".

John Levin – Hearing Officer
May 16th, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
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Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 24318

Friends of Chuck Erickson
2322A Rainbow Ave
Bloomington, IL 61704-2326

7011 2970 0003 6441 4382

Dear Friends of Chuck Erickson:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 16, 2013, 1 days late. As such, this committee has been assessed a fine of \$25.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of McLean)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. _____

Friends of Chuck Erickson)
24318 Respondent(s).)

APPEAL AFFIDAVIT

I, Josh W. Barnett, the Chairman of the
(Name) (Chairman/Treasurer)

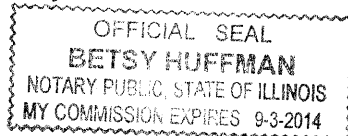
Friends of Chuck Erickson
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached.

Signed and Sworn to by:
Josh W. Barnett
before me this 14th Day of

February, 2013
Betsy Huffman
Notary Public



Josh W. Barnett
(Signature of Chairman/Treasurer)

FACTS

1. On January 11, 2013, the treasurer, Matt Lauritzen, signed and completed the D-2. See completed D-2.
2. On January 11, 2013, the treasurer then mailed the D-2 at the Eagle Station Post Office on North Towanda Road, Bloomington, Illinois.
3. In May, 2012, the U.S. Postal Service processing center in Bloomington, Illinois was closed. See Bloomington Pantagraph article dated February 23, 2012, which is attached hereto and made a part hereof as Exhibit A.
4. The result was to delay mail by one day. See headline, Exhibit A.
5. In May, 2012, it is noted that mail from Bloomington and rural post offices would be processed in Peoria and Champaign. See Bloomington Pantagraph article dated May 17, 2012, which is attached hereto and made a part hereof as Exhibit B.
6. Chuck Erickson is an attorney who has his own law practice, namely, Charles N. Erickson Law Office, 202 South Eldorado Road, Suite B2, Bloomington, IL 61704.
7. Because of mail delays in Bloomington and rural areas, Chuck Erickson did not receive a payment from a client who mailed the payment on January 7, 2013, was processed in Champaign, was not delivered, was still in the system and run through again January 31, 2013, and received on Tuesday, February 12, 2013. The delay here was 36 days. See Exhibit C which is attached hereto and made a part hereof.
8. Mail originating in Bloomington or the rural areas is being processed out of town and is resulting in delays. See Exhibits A and B.
9. The D-2 mailed by the treasurer, Matt Lauritzen, was mailed on January 11, 2013. It was received by the State Board of Elections on January 16, 2013.
10. The U.S. Postal Service continues to cut back due to budget constraints. See WJBC article dated February 12, 2013, and which is attached hereto and made a part hereof as Exhibit D.
11. With continued cuts in the postal service, it is likely other treasurers from other campaigns will likely mail the D-2 in plenty of time but due to factors

not under the treasurer's control, the D-2 may arrive one day late and as such, this will keep the State Board of Elections rather busy assessing fines.

LAW

1. The relevant statute states as follows: "There shall be no fine if the report is mailed and postmarked at least 72 hours prior to the filing deadline." 10 ILCS 5/9-10(b).
2. While the treasurer did not copy the envelope and postmark, he asserts, under oath, that he mailed the D-2 at least 96 hours or four days prior to January 15, 2013, i.e., January 11, 2013. Evidence of this is he signed the D-2 on January 11, 2013.

PANTAGRAPH.COM

Bloomington mail to be delayed one day after facility closes

FEBRUARY 23, 2012 5:45 PM • BY KARINA GONZALEZ | KGONZALEZ@PANTAGRAPH.COM

BLOOMINGTON — It will take one day longer for mail to be delivered once Bloomington's U.S. Postal service processing center shuts its doors this May, but insurance companies here say the delay won't affect the millions of mail that moves in and out of Bloomington every year.

Chris Anderson, spokeswoman for Country Financial, said the good news is that the post office will continue to receive mail from area businesses through its Business Mail Entry Unit at the Eagle Station Post Office on North Towanda Road. Because the company already sends mail based on deadlines and schedules, the one-day delivery delay will likely not affect the company mail operations, Anderson said.

Country shipped up to 13 million pieces of mail out of Bloomington in 2011 and received 3.5 million mail pieces during the same year, according to Country data.

Holly Anderson, spokeswoman for State Farm Insurance, based in Bloomington, said the company will continue to be in regular contact with local USPS officials.

"Our goal is to maintain a high level of mail service to the policyholders and customers we serve," Anderson said.

Anderson declined to say how much mail State Farm ships out of Bloomington each year and whether the one-day delay would have an impact on company mail procedures.

Marty Vanags, chief executive officer for the Economic Development Council of the Bloomington-Normal Area, said Thursday he did not fully know the impact of the announcement on the business community here, particularly the financial sector.

The group will work with the city of Bloomington to appeal the decision, Vanags said.

"We will do everything we can," Vanags said.

The EDC communicated with U.S. Post Office officials to ask for the facility to remain open, highlighting the Bloomington-Normal's central location in Illinois, Vanags said.

"We thought it made sense to keep this one open," Vanags said.

Exhibit A

PANTAGRAPH.COM

Bloomington mail facility among those closing this summer

MAY 17, 2012 8:19 PM • ASSOCIATED PRESS

WASHINGTON, D.C. — The nearly bankrupt U.S. Postal Service is moving forward with a multibillion-dollar cost-cutting plan that will close nearly 250 mail processing centers, including one in Bloomington, saying on Thursday it can no longer wait as Congress remains deadlocked over how to help.

At a news briefing, Postmaster General Patrick Donahoe said the agency's mail processing network had simply become too big, given declining first-class mail volume and mounting debt. It will now consolidate nearly 250 plants as originally proposed, including 48 this summer, but will stretch out the remainder over a longer time frame in 2013 and 2014.

Mail currently processed at the Bloomington facility on Empire Street, including mail from the Normal Post Office and rural post offices throughout McLean County, will be processed in Peoria and Champaign under the reorganization plan.

Earlier this month, nearly half the Senate had written letters to Donahoe asking that he hold off on closing any mail facility until Congress could pass final postal overhaul legislation. The Senate last month passed a bill that would halt many of the closings. The House remains stalled over a separate postal measure allowing for more aggressive cuts.

"To return to long-term profitability and financial stability while keeping mail affordable, we must match our network to the anticipated workload," Donahoe said. Failure to do so, he stressed, would "create a fiscal hole that the Postal Service will not be able to climb out of."

Under the modified approach, up to 140 processing centers will be consolidated by next February — roughly 48 in August and about 90 next January and February. Closings would be suspended during the Postal Service's busy election and holiday mail season. Another 89 closings would occur in 2014.

The consolidations are initially expected to reduce postal staff by 13,000 and save the struggling mail agency roughly \$1.2 billion annually. By the time the full round of cuts is implemented by late 2014, the post office will have 28,000 fewer employees with estimated annual savings of \$2.1 billion.

The latest postal move comes after vociferous protests from communities across the U.S., particularly those in rural areas, over the mail agency's initial multibillion dollar cost-cutting plan to close up to 3,700 post offices and 252 mail processing centers. The Postal Service last week backed off the closing of post offices, saying it would cut costs instead by reducing operating hours in 13,000 mc locations.

26

Exhibit B

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MA 095

Regular Republican Party of River Forest
Respondent

ID# 226

REPORT OF HEARING OFFICER

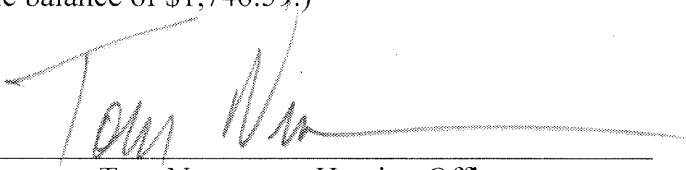
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2012 and September 2012 Quarterly Reports

The June 2012 Quarterly Report was received by the Board on August 16, 2012, 23 days late, resulting in a civil penalty assessment of \$1,725. The September 2012 Quarterly Report was received by the Board on November 16, 2012, 22 days late, resulting in a civil penalty assessment of \$1,650. Additionally, the Committee had previously been assessed a \$4,575 civil penalty (not appealed, unpaid) for delinquent filing of the December 2011 Quarterly Report, a \$5,000 civil penalty (not appealed, unpaid) for delinquent filing of the September 2011 Quarterly Report and a \$4,675 civil penalty (not appealed, unpaid) for delinquent filing of the June 2011 Quarterly Report. The total assessment is \$17,625.

William Hogan, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Hogan states that the Committee's bank mailed bank statements for the affected time periods to an old address, delaying the Committee's ability to timely file the Reports.

I recommend the appeal be denied for lack of an adequate defense. The Reports in question showed minimal activity and could likely have been filed even without the bank statement information. But in any case, it was the Committee's responsibility to obtain that information and file the Reports in a timely manner. If this recommendation is accepted by the Board, the total assessment of \$17,625 will be due and owing. (As of 3/31/13, this Committee reported a funds available balance of \$1,746.59.)



Tom Newman – Hearing Officer
May 16, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012
ID# 226

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Regular Republican Party of River Forest
c/o Thomas C Cronin
135 S Palmer Dr, Ste 204
Elmhurst, IL 60126

7012 1010 0002 5104 3496

Dear Regular Republican Party of River Forest:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on August 16, 2012, 23 day(s) late. As such, this committee has been assessed a fine of \$1725.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 1, 2012
Filing Period:	October 1, 2012 through October, 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on November 16, 22 day(s) late. As such, this committee has been assessed a fine of \$1650.

The total for all new assessments is \$3375.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
April 1 through June 30 2011	Quarterly	\$4675
July 1 through September 30 2011	Quarterly	\$5000
October 1 through December 31 2011	Quarterly	\$4575
TOTAL AMOUNT NOW DUE		\$17625

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

W

STATE BOARD OF ELECTIONS

13 JAN 16 PM 12:18

State of Illinois)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12MA095

Regular Republican Party of
Respondent(s).
River Forest

APPEAL AFFIDAVIT

I, William Hogan, the Treasurer of the
(Name) (Chairman/Treasurer)

Regular Republican Party of River Forest
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

From April 1, 2012, through September 30, 2012,
the two reports filed were in mid August
of 2012 and mid November of 2012, respectively.
Attached is a bank statement from Bank of Oak
Park and River Forest, which mailed the statements to
an old address and ~~delayed~~ our ability to timely
file.

Signed and Sworn to by:

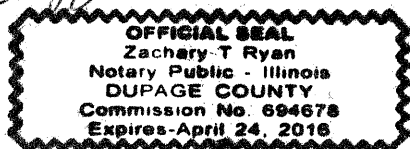
ZACHARY T RYAN

before me this 14 Day of

JANUARY, 2013

Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 SQ 048

42nd Ward Republican Org (ID 15431)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 September Quarterly Report

The report was received on October 16, 2012, 1 day late, resulting in a \$75 civil penalty. In addition, the Committee was assessed a \$80 civil penalty (not appealed, reduced, not paid) for delinquently filing a Schedule A-1 Report during the 2009 Consolidated Election; a \$2,325 civil penalty (not appealed, not paid) for delinquently filing the 2010 December Semi-Annual Report; a \$2,275 civil penalty (not appealed, not paid) for delinquently filing the 2011 June Quarterly Report; a \$1,650 civil penalty (not appealed, not paid) for delinquently filing the 2011 September Quarterly Report; a \$1,250 civil penalty (not appealed, reduced, not paid) for delinquently filing a Schedule A-1 Report during the 4th Quarter of 2011 and a \$150 civil penalty (not appealed, not paid) for delinquently filing the 2011 December Quarterly Report. The total assessment is \$7,805.

Eloise Gerson, Chairman and Committeeman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on February 14, 2013.

Ms. Gerson stated that the Committee's treasurer Jonathan Blessing led her to believe that he filed the reports timely and that all fines and assessments were paid. Until she received the notices, she was unaware of the delinquent activity associated with the political committee. Mr. Blessing did not appear at the hearing to offer any testimony or reasons to why the report was filed late.

The Respondent's issue that she was misled by the Treasurer's statements is an internal matter. It is unfortunate that Mr. Blessing was not forthcoming with Ms. Gerson and he failed to address the situation, however this is not a valid defense for the late filing. Therefore, I recommend that the appeal be denied. If the Board accepts this recommendation, a \$7,805 civil penalty will be due and owing. (As of 12/31/12 this committee reported a funds available balance of \$1,413.33.)



Tara Molnar – Hearing Officer

March 14, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012
ID# 15431

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

42nd Ward Republican Organization
208 S LaSalle St, Ste 1721
Chicago, IL 60604-1174

Dear 42nd Ward Republican Organization:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 16, 2012, 1 day late. As such, this committee has been assessed a fine of \$75.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 9 through April 6 2009	Schedule A-1	\$80
July 1 through December 31 2010	Semi-Annual	\$2325
April 1 through June 30 2011	Quarterly	\$2275
July 1 through September 30 2011	Quarterly	\$1650
October 1 through December 31 2011	Schedule A-1	\$1250
October 1 through December 31 2011	Quarterly	\$150
TOTAL AMOUNT NOW DUE		\$7805

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

RECEIVED

JAN 17 2013

IN THE MATTER OF :

ILLIONIS STATE BOARD OF ELECTIONS,

Complainant,

v.

42nd WARD REPUBLICAN ORGANIZATION,

Respondent.

State Board of Elections


Case No. 12-SQ-048

APPEAL AFFIDAVIT

I, ELOISE GERSON, Chairman of the 42nd Ward Republican Organization, under penalty of perjury and pursuant to the penalties provided for pursuant to Section 1-109 of the Illinois Code of Civil Procedure, deposes and states that said Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are as follows:

I was assured by Treasurer of the Committee, Jonathan Blessing that the reports were timely filed, and upon receipt of notice of fees assessed to the Committee I referred the matter to said Treasurer, who assured me that he was taking care of the matter with the Illinois State Board of Elections.

FURTHER AFFIANT SAYETH NAUGHT.


ELOISE GERSON

CERTIFICATION

Under penalties as provided by law pursuant to Section 1-109 of the Code of Civil Procedure, the undersigned certifies that the statements set forth in this instrument are true and correct, except as to matters therein stated to be on information and belief and as to such matters the undersigned certifies as aforesaid that she verily believes the same to be true.



ELIOISE GERSON

Date: 1/17/13

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 SQ 091

42nd Ward Regular Republican Org (ID 20312)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 September Quarterly Report

The report was received on October 16, 2012, 1 day late, resulting in a \$75 civil penalty. In addition, the Committee was assessed a \$100 civil penalty (not appealed, not paid) for delinquently filing the 2009 June Semi-Annual Report; a \$4,200 civil penalty (not appealed, not paid) for delinquently filing the 2010 December Semi-Annual Report; a \$1,850 civil penalty (not appealed, not paid) for delinquently filing the 2011 June Quarterly Report; a \$550 civil penalty (not appealed, not paid) for delinquently filing the 2011 September Quarterly Report; and a \$25 civil penalty (not appealed, not paid) for delinquently filing the 2011 December Quarterly Report. The total assessment is \$6,800.

Eloise Gerson, Chairman and Committeeman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on February 14, 2013.

Ms. Gerson stated that the Committee's treasurer Jonathan Blessing led her to believe that he filed the reports timely and that all fines and assessments were paid. Until she received the notices, she was unaware of the delinquent activity associated with the political committee. Mr. Blessing did not appear at the hearing to offer any testimony or reasons to why the report was filed late. Ms. Gerson indicated that this is no longer active and that a final report was filed on January 16, 2013.

The Respondent's issue that she was misled by the Treasurer's statements is an internal matter. It is unfortunate that Mr. Blessing was not forthcoming with Ms. Gerson and he failed to address the situation, however this is not a valid defense for the late filing. Therefore, I recommend that the appeal be denied. If the Board accepts this recommendation, a \$6,800 civil penalty will be due and owing. However, since this committee has filed a Final Report, I further recommend that should the committee remain dissolved for a period of two years following the date of this Final Order or any subsequent Final Order imposing a fine, the fine be abated. (As of 1/16/13 this committee reported a funds available balance of \$0.)



Tara Molnar – Hearing Officer

March 14, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

42nd Ward Regular Republican Organization ID# 20312
208 S LaSalle St, Ste 1721
Chicago, IL 60604-1174

Dear 42nd Ward Regular Republican Organization:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 16, 2012, 1 day late. As such, this committee has been assessed a fine of \$75.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through June 30 2009	Semi-Annual	\$100
July 1 through December 31 2010	Semi-Annual	\$4200
April 1 through June 30 2011	Quarterly	\$1850
July 1 through September 30 2011	Quarterly	\$550
October 1 through December 31 2011	Quarterly	\$25
TOTAL AMOUNT NOW DUE		\$6800

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

RECEIVED**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

JAN 17 2013

IN THE MATTER OF :

State Board of Elections

ILLIONIS STATE BOARD OF ELECTIONS,

Complainant,

v.

Case No. 12-SQ-091

42nd WARD REGULAR REPUBLICAN
ORGANIZATION,

Respondent.

APPEAL AFFIDAVIT

I, ELOISE GERSON, Chairman of the 42nd Ward Regular Republican Organization, under penalty of perjury and pursuant to the penalties provided for pursuant to Section 1-109 of the Illinois Code of Civil Procedure, deposes and states that said Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are as follows:

I was assured by Treasurer of the Committee, Jonathan Blessing that the reports were timely filed, and upon receipt of notice of fees assessed to the Committee I referred the matter to said Treasurer, who assured me that he was taking care of the matter with the Illinois State Board of Elections.

FURTHER AFFIANT SAYETH NAUGHT.



ELOISE GERSON

CERTIFICATION

Under penalties as provided by law pursuant to Section 1-109 of the Code of Civil Procedure, the undersigned certifies that the statements set forth in this instrument are true and correct, except as to matters therein stated to be on information and belief and as to such matters the undersigned certifies as aforesaid that she verily believes the same to be true.



ELOISE GERSON

Date: 1/17/13

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 MA 105

32nd Ward Regular Republican Organization 20557

Respondent

REPORT OF HEARING OFFICER

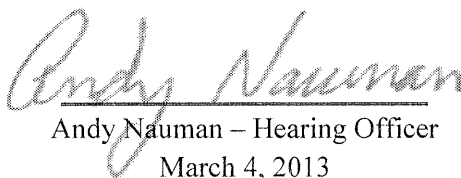
Appeal of Civil Penalty Assessment for Delinquently Filing
The March and June 2012 Quarterly Reports

The March 2012 Quarterly Report was received by the Board on 8/24/12, 92 days late, resulting in a civil penalty assessment of \$2,300. The June 2012 Quarterly Report was received by the Board on 8/24/12, 29 days late, resulting in a civil penalty assessment of \$1,450. In addition, the Committee had previously been assessed a \$25 civil penalty (not appealed, stayed) for delinquently filing the December 2010 Semi-Annual Report. The assessment total is \$3,775.

John Curry, the chairman and the treasurer, of the committee appeared at the February 14th appeal hearing.

John Curry stated that in the last dozen years he has been the only person responsible for preparing and filing the reports for this committee. He said that the Republican presence in the 32nd ward is very small and he voluntarily runs the committee by himself. The committee has no paid staff, no office and the majority of contributions come from him in the form of in-kind contributions. He indicated that the largest expense that the committee has is for an informational flyer that is produced and mailed before the general election for around \$1,100. Mr. Curry keeps his own diary system and the switch from semi-annual reports to quarterly reports affected his system. Additionally, his diary entries for the first half of 2012 were either misplaced or lost. Therefore, he missed the filing period and he did not realize that the report had not been filed. He indicated that the SBE could have sent notices, but he did not recall receiving any notices. That period of time was very stressful and time consuming because he was engaged in personal, professional, and political business unrelated to his committeeman race. He was working 80 hour a week as a lawyer, he ran for the 32nd Ward Committeeman, he volunteered in the 42nd Ward Committeeman race, he ran for the 4th Congressional District Republican State Central Committeeman, and he ran in the election to be Chairman of the Chicago Republican Party. Mr. Curry did not realize until late August of 2012 that he had failed to file his campaign disclosure reports. Mr. Curry indicated that his failure to file the quarterly reports was completely due to inadvertence, and not due to an intent to avoid the filing requirements. The only activity the committee had during that 6 month period was \$280 worth of in-kind contributions that Mr. Curry donated to the committee. Mr. Curry requests that the fines be stricken or waived. In the alternative, he requests that the fine be substantially reduced to a reasonable amount because he will most likely have to pay the civil penalty out of his own pocket. Additionally, Mr. Curry indicated that as a committeeman he is required to file and keep this committee open and he would like the Board to take into consideration that he at least files reports when other committeeman don't even have a committee.

The hearing officer understands that Mr. Curry had a lot going on when the reports were due; however, as the treasurer of the committee, Mr. Curry had a responsibility to file the reports and they were not filed in a timely manner. Although, Mr. Curry stated that he does not recall receiving any notices regarding March 2012 and the June 2012 reports the Board mailed notices on 2/27/12 and 5/29/12 respectfully to the committee address, which, Mr. Curry verified was correct during the appeal hearing. The Board is required to annually notify each political committee of the filing dates for each quarterly report and they would have fulfilled that requirement. It is the responsibility of the treasurer of the Committee to file the required reports in a timely fashion. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board the stay on the previously assessed \$25 civil penalty will be lifted and the total assessment of \$3,775 will be due and owing. Additionally, I would like to point out to Mr. Curry that as far as I know nothing in the Campaign Disclosure Act would prevent him from being allowed to close out this committee and file a Final Report. However as per Section 100.110 if the political committee chooses to go out of existence it must first pay its civil penalty, or if it lacks sufficient funds to pay the civil penalty in full, pay to the State Board of Elections such sums as it has in its treasury in satisfaction of the civil penalty. The remaining amount of the civil penalty shall abate after two years if a successor committee is not formed. (As of 12/31/12, this Committee reported a funds available balance of \$470.30.)


Andy Nauman – Hearing Officer
March 4, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

32nd Ward Regular Republican Organization
c/o John J. Curry, Committeeman
PO Box 641914
Chicago, IL 60664-1914

ID# 20557

7012 1010 0002 5104 3595

Dear 32nd Ward Regular Republican Organization:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2012 through March 31 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on August 24, 2012, 92 day(s) late. As such, this committee has been assessed a fine of \$2300.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 24, 2012, 29 day(s) late. As such, this committee has been assessed a fine of \$1450.

The total for all new assessments is \$3750.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1 through December 31 2010	Semi-Annual	\$25
TOTAL AMOUNT NOW DUE		\$3775

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of: Cook)

17

CHICAGO

BEFORE THE STATE BOARD OF ELECTIONS 2013 JAN 15 AM 11:02
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
32nd Ward Regular)
Republican Organization)
Respondent(s).)
ID #20557

Case No. 12 MA 105

APPEAL AFFIDAVIT

I, John J. Curry, the Chairman of the
(Name) (Chairman/Treasurer)
32nd Ward Regular Republican Organization
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

set forth on the attached Rider to Appeal Affidavit,
which is hereby incorporated by reference herein.

[Signature]
Signature of Chairman/Treasurer
John J. Curry

Signed and Sworn to by:
Patricia C Fleming
before me this 14th Day of January, 2013

Notary Public
(seal)



RIDER TO APPEAL AFFIDAVIT IN

THE MATTER OF ISBE VS. 32ND WARD REGULAR REPUBLICAN ORGANIZATION

The failure of the 32nd Ward Regular Republican Organization ("Committee") to file its 2012 March Quarterly Report (D-2) and its 2012 June Quarterly Report (D-2) was completely due to inadvertence on the part of the Committee and not due to an intent to violate the law pertaining to D-2 filings.

The Committee stands as the official ward organization for the Republican Party in the 32nd Ward of Chicago. Its chairman is myself, John J. Curry, the elected 32nd Ward Republican Committeeman. The Committee was created to promote the Republican Party in the 32nd Ward. However, despite the fact that the 32nd Ward has a population of over 50,000 residents, its Republican Primary Vote has ranged between 1,439 and 1,998. The highest Republican Primary Vote in the ward since prior to 1990 was 1,998 cast in the 2012 Republican Primary. Thus the Republican presence in the 32nd Ward is very small; and only a few persons volunteer to assist the Committee at any given time. No person affiliated with the Committee holds any public office, and no office holder who represents the 32nd Ward in any capacity at lower than the federal government or a statewide office in state government is a Republican. Thus, the Committee is run as a volunteer enterprise by me, the Ward Committeeman. The Committee has no other officers and has no employees. Further, I am the sole officer of the Committee.

As Chairman of the Committee, and the sole officer of the Committee, I have been solely responsible for filing the required disclosure reports for the Committee with the Illinois State Board of Election ("ISBE"). The Committee is run as a volunteer organization, and its only regular financial activity is the in kind contribution of shared use of a post office box, a telephone line, and a website all contributed by me. The Committee does not lease any office space or use any paid or compensated staff. Fundraising is sporadic, and direct contributions are few. (For example, the Committee solicits donations through its shared website, and in the five years it has shared the website, not one on line contribution has ever been made to the Committee.) The largest expense on the part of the Committee is an informational flyer produced and mailed to Republican Primary Voter households before the November elections in general election years. That expense has never been more than approximately, \$1,100, as shown in the schedules. Hence, the Committee is and has been run on a volunteer basis solely by me. I serve as the Chairman and the Treasurer of the Committee.

As the person responsible for filing the D-2's, I use my own diary system to mark when the D-2s are due. This system was affected by the change to quarterly reports from semi-annual reports in 2011. But more importantly, my diary entries for the first half of 2012 were either misplaced or lost by me. Accordingly, I had no reminder in my own record for the filing of D-2s for March 2012 and June 2012. Since I did not have a reminder for those two reports, I forgot to do them and simply assumed, as time went on, that they had been completed.



In addition, I was heavily engaged in other personal, professional, and political business unrelated to the 32nd Ward Organization and the Committee between mid-March 2012 and mid-July 2012. During this time, I was putting in over 80 hours a week at work. (I am a lawyer with the firm of Polsinelli Shughart PC, 161 N. Clark St., Chicago, IL.) In addition, I was heavily involved in the primary election for the committeeman for the 42nd Ward (2012 Primary), the election of the 4th Congressional District Republican State Central Committeeman (from March through May 2012) for which I stood as a candidate, and in the election for Chairman of the Chicago Republican Party, for which I also stood as a candidate, in April 2012. As all of this political activity was not related to the 32nd Ward Republican Organization and the Committee, my attention was drawn away from attending to duties related to the Committee, including campaign disclosure obligations. This compounded the mis-diarying of the D-2 due dates. Also, as stated, professional obligations further distracted me from these obligations, as I was involved in court proceedings throughout the summer of 2012, including a trial scheduled to begin on August 6, 2012.

It was only when I had some additional time after the political and professional commitments described above abated, in late August 2012, that I reviewed the records of the Committee and discovered that I had overlooked the D-2 deadlines and failed to file the two quarterly D-2s for March 2012 and June 2012. I then worked to compile and file the D-2s, which were finally filed together on August 24, 2012.

As shown by the foregoing, the failure to file the D-2s was due completely to inadvertence, and not due to an intent to avoid filing. In addition, because the Committee is small, has no paid staff, and relied entirely on me, its sole officer, there was no other responsible individual capable of serving as a back up in the event of my inadvertence, as had occurred here.

In addition to the issue of inadvertence in the failure to timely file, the reports show that the Committee, clearly reflecting the description set forth above, is a small and slightly funded political organization. The Committee runs on in kind contributions, less than \$150 per quarter, advanced by Mr. Curry, namely shared use of his website, his P.O. Box, and his toll free number. There are usually no substantial contributions made to the Committee. Over time, Mr. Curry himself had loaned cumulatively over \$2,000 to the committee, and this is reflected in Schedule C. There were no itemized contributions by him during the subject reporting periods in 2012. Therefore, the public was not deprived of critical political and financial information related to the Committee due to its failure to timely report. Thus, in light of the slight activity of this Committee, the assessment of a fine of \$25 per day (\$3,750 total) is excessive and punitive. As shown, the Committee does not have the funds available to pay the fine assessed and has little prospect of soliciting contributions which would offset the impact of the fine. The amount of the fine is overwhelming to this Committee given its size and circumstances. Given these circumstances, I will likely have to pay the fine personally on behalf of the Committee.

For all the foregoing reasons, the Committee asks that the fine assessment be stricken or waived. In the alternative, due to the reasons given, the Committee requests that the assessment be substantially reduced to an amount reasonable under the circumstances of the Committee.

I hereby incorporate this Rider into my Appeal Affidavit, to which this is attached.

A handwritten signature in black ink, appearing to be "J. Curry", is located at the bottom right of the page.

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

12 AM 099

1st Ward Democratic Committeeman Fund (ID 21057)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for the Failure to File Schedule A-1 Reports

During the 1st Quarter of 2012, the Committee received ten contributions of \$1,000 or more, totaling \$19,129.90 and failed to report them on a Schedule A-1 Report, resulting in a \$9,564.94 civil penalty assessment. Since this is the Committee's first Schedule A-1 Report violation, the fine is reduced to \$956 or 10% of the above-referenced amount. Additionally, this Committee was assessed the following civil penalties:

\$2,350 for delinquently filing the D-1 Statement of Organization (not appealed, not paid);
\$100 for delinquently filing the 2009 December Semi-Annual Report (not appealed, not paid);
\$5,000 for delinquently filing the 2010 June Semi-Annual Report (not appealed, not paid);
\$5,000 for delinquently filing the 2010 December Semi-Annual Report (not appealed, not paid);
\$5,000 for delinquently filing the 2011 1st Quarterly Report (not appealed, not paid);
\$5,000 for delinquently filing the 2011 2nd Quarterly Report (not appealed, not paid);
\$5,000 for delinquently filing the 2011 3rd Quarterly Report (not appealed, not paid);
\$5,000 for delinquently filing the 2011 4th Quarterly Report (not appealed, not paid);
\$400 for delinquently filing the 2012 1st Quarterly Report (not appealed, not paid).

The total assessment is \$33,806.

Jesse Ruben Juarez, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on December 20, 2012.

Mr. Juarez stated that his campaign manager handled the committee's disclosure filings. Mr. Juarez did not see the checks before they were deposited and was unaware that the reports were not filed until he received an outside verified complaint. He indicated that the committee has been inactive since the 2012 General Primary election.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the total assessment of \$33,806 will be due. (As of 9/30/12, this Committee reported a funds available balance of \$62,716.85.)



Tara Molnar – Hearing Officer

December 27, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

1st Ward Democratic Committeeman Fund ID# 21057
PO Box 220987
Chicago, IL 60622-0007

7011 2970 0003 6439 2802

Dear 1st Ward Democratic Committeeman Fund:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Brett & Kathleen Baron	2/25/2012	\$1500	*	32	\$750
William E Belmonte	2/12/2012	\$2200	*	40	\$1100
Joseph Hathaway	3/1/2012	\$1000	*	28	\$500
Leif Moravy	1/17/2012	\$1039.95	*	58	\$519.97
Brown Udell Pomerantz & Delrahim	3/14/2012	\$2000	*	20	\$1000
Chicago Division Street Restaurants	3/6/2012	\$1880	*	26	\$940
Hispanic Political Action Committee of IL	3/19/2012	\$4500	*	17	\$2250
Local 150 IOUE Local Area PAC	1/12/2012	\$2500	*	60	\$1250
Local 150 IOUE Local Area PAC	3/20/2012	\$1500	*	16	\$750
Pomerantz	2/29/2012	\$1009.95	*	29	\$504.97

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$9564.94 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$956, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within**

30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
n/a	D-1 Statement of Organization	\$2350
July 1 through December 31 2009	Semi-Annual	\$100
January 1 through June 30 2010	Semi-Annual	\$5000
July 1 through December 31 2010	Semi-Annual	\$5000
January 1 through March 31 2011	Quarterly	\$5000
April 1 through June 30 2011	Quarterly	\$5000
July 1 through September 30 2011	Quarterly	\$5000
October 1 through December 31 2011	Quarterly	\$5000
January 1 through March 31 2012	Quarterly	\$400
TOTAL AMOUNT NOW DUE		\$33806

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March 2011 Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

CHICAGO

State of Illinois)
County of Cook)

2012 SEP 13 AM 10:34
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
1st Ward Democratic)
Committee Fund)
Respondent(s).

Case No. 12 AM 099

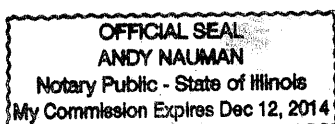
APPEAL AFFIDAVIT

I, Jesse Ruben Juarez, the Chairman of the
(Name) (Chairman/Treasurer)
1st Ward Democratic Committee Fund
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Signed and Sworn to by:
Jesse Ruben Juarez
before me this 13 Day of
September, 2012
Andy Nauman
Notary Public

(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 DQ 101

Citizens for Michael Bram

22035

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquently Filing
The December 2012 Quarterly Report

The Report was received by the Board on January 30, 2013, 10 days late, resulting in a civil penalty assessment of \$750. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, paid) for delinquently filing the September 2011 Quarterly Report; a \$275 civil penalty (not appealed, paid) for delinquently filing the December 2011 Quarterly Report; and a \$225 civil penalty (appealed, denied, paid) for delinquently filing the March 2012 Quarterly Report. The total assessment is \$750.

Michael Bram, the candidate of the committee, appeared at the May 17 appeal hearing.

Michael Bram stated that his quarterly report packet was delivered to the wrong address by a postal worker and the notice was not forwarded to him until maybe a day or two prior to when the report was due. Mr. Bram however did not open the material until around 5 days after the report was already past due. Mr. Bram stated that he filed the report as soon as he realized the report was due and reported his \$6 in receipts and \$3 in expenditure that took place during that period. He also said that he had saved the envelope in case that he had to appeal the fine however he could no longer locate the envelope.

It is the Board's duty to annually notify each political committee of the filing dates for each quarterly report. The Board would have mailed the March 2012 Quarterly Report notice on 2/27/12, the June 2012 Quarterly Report notice on 5/29/12, and the September 2012 Quarterly Report notice on 8/28/12. Included in those notices would have been the 2012 Campaign Disclosure Calendar which shows when the December 2012 Quarterly Report should have been filed. Additionally, Mr. Bram stated that he received the packet for the December 2012 Quarterly Report prior to the report being due however he did not open that packet until after the report was due. Therefore, the hearing officer believes Mr. Bram would have received notice that the report was due. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$750 will be due and owing. (As of 3/31/13, this Committee reported a funds available balance of \$536.30.)


Andy Nauman – Hearing Officer
May 23, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 22035

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Michael Bram
700 N Van Auken St
Elmhurst, IL 60126-1732

7011 2970 0003 6440 8015

Dear Citizens for Michael Bram:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 30, 2013, 10 day(s) late. As such, this committee has been assessed a fine of \$750.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)

County of: DePage)

STATE BOARD OF ELECTIONS

13 APR 16 PM 12:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 1300101

CITIZENS for Michael Bram)
Respondent(s).)

APPEAL AFFIDAVIT

I, Michael J. Bram, the Chairman of the
(Name) (Chairman/Treasurer)

CITIZENS for Michael Bram
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The information was mailed to the correct address but
delivered to the wrong address. This was the reason
for the delayed return of the campaign disclosure
information.

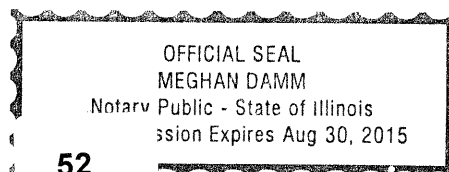
[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]

before me this 13 Day of April, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12 AM 108

Joy Cunningham for Justice 23691
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st Quarter of 2012

This committee received a \$45,000 contribution from the candidate on 3/19/12, and reported this contribution to the Board 16 business day late, resulting in a civil penalty assessment of \$22,500. In addition, the Committee has previously been assessed a \$250 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 3rd Quarter of 2011; two \$625 civil penalties (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 4th Quarter of 2011; six \$250 civil penalties (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 4th Quarter of 2011. The total assessment is \$22,500.

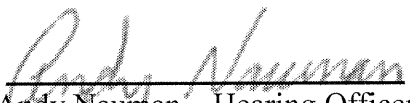
The Respondent was represented by attorney Richard Means at the November 1st appeal hearing.

Richard Means indicated that the committee acknowledges that they were tardy in reporting the contribution from the candidate. However, the Respondent believes the circumstances surrounding this fine should be taken into consideration, and the Board may want to contemplate changing their policy when it comes to Judges. Mr. Means indicated that the purpose of the Act is for voters to be informed of the campaign finances of those who are running for office. However, he stated, in this circumstance the voters were not deprived of any financial information for the assessed contribution was received the day prior to the election; therefore it was not required to be disclosed until after the election. Furthermore, he indicated that Judges are at a disadvantage because the officers of a committee receive notices when a committee is not in compliance. A candidate could normally step in and take over if needed, but a Judge cannot do this. As per Illinois Supreme Court Rule 67 (attached) a candidate for judge may not personally solicit or accept campaign contributions. Furthermore, a candidate's committee may only solicit contributions and public support for the candidate's campaign no earlier than one year before an election and no later than 90 days after the last election in which the candidate participates during the election year. Mr. Means indicated that this restriction helps keep the candidates at arm's length from those who financed their campaign; however, it also puts the candidates at a disadvantage for they cannot monitor the committee to make sure they are in compliance. It has been more than 90 days since the general primary election, and this committee has stopped fundraising and closed the bank account. This committee was previously assessed a \$2,750 civil penalty, and the candidate had to pay for this expense out of her pocket for she could not raise any funds to pay it. Now the committee has been assessed another penalty and the Respondent believes that the candidate will have to pay this fine out of pocket as well as her committee is prohibited from raising funds at this

point in time. Mr. Means indicated that if this fine is not paid, the candidate would not be eligible for appointment or for re-election if she has outstanding fines. Therefore, the Respondent asks the Board to consider a policy change when judicial candidate's committees are assessed fines. Additionally, Mr. Means indicated that the Board has accepted less than 50% for settlement offers in the past. If the Board were not to grant this appeal, the Respondent requests that the percentage amount be allowed to be less than 50% for this matter. He indicated that the Board had settled for less than 50% for Todd Stroger, Judy Baar Topinka, and Calvin Giles (information concerning the Calving Giles settlement is attached) in the past.

Mr. Mean's defense that no one was improperly denied knowledge of this contribution prior to the election has no bearing on whether the contribution was reported in a timely manner. I recommend the appeal be denied for lack of an adequate defense. This is the committee's third delinquent Schedule A-1 and the civil penalty for such a report is \$22,500. If this recommendation is accepted by the Board, the amount of \$22,500 will be due and owing. (As of 6/31/12, this Committee reported a funds available balance of \$0.)

In relation to the Respondent's request to consider holding a judicial candidate's committee to different standards when it comes to their policy making decisions, I recommend that no such consideration be given. In relation to the Respondent's request to potentially settle for less than 50%, I believe this is a matter only the Board can consider; however, I would like to point out that I believe all three of the matters that the Respondent identified would have been done prior to changes in the law requiring year round Schedule A-1 reporting and reports being required to be filed quarterly. Additionally, I don't know the specifics behind the settlements that Mr. Means has broadly identified. Therefore, I don't know why those candidates may have been able to settle for less than 50%, or if they were for that matter. I believe the current Board members can establish policy and they are not held to what prior Board members may have done in the past.


Andy Nauman – Hearing Officer
November 7, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

Joy Cunningham for Justice
c/o Peter Gottlieb, 20 N Wacker Dr, Ste 1416
Chicago, IL 60606-2906

ID# 23691

7011 2970 0003 6439 2895

Dear Joy Cunningham for Justice:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Joy Cunningham	3/19/2012	\$45,000	4/12/2012	16	\$22,500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$22500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$22500, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
October 1 through December 31 2011	Schedule A-1	\$30,650
January 1 through March 31 2012	Schedule A-1	\$1250
TOTAL AMOUNT NOW DUE		\$54,400

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

12 OCT -4 AM 10: 07

State of Illinois)
) SS.
 County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF:

Illinois State Board of Elections,
 Complainant

Vs.

Joy Cunningham For Justice (ID# 23691),
 Respondent

Case No. 12Am108

APPEAL AFFIDAVIT, REQUEST FOR HEARING

I, Vance du Rivage, the Chairman of Joy Cunningham for Justice Committee, after first being duly sworn deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of civil penalties totaling \$54,000 issued on September 4, 2012 and that such reasons and defenses are:

The Committee does not deny that the A-1 form due to be filed in this circumstance was filed late. However, the Committee asserts that the resulting civil penalties totaling \$54,000 are excessive. While the failure of the Committee to file may have been negligence, there was no substantive or significant harm to the voters' right to know the Candidate's campaign finances before voting since the deadline missed was Midnight on the day *after* the election. Additionally the voters were not deprived of information as to the Candidate's donors which might have been useful in the determination of for whom to vote since the donor in this case was not a controversial person, association or industry, but it was the Candidate herself.

Further, the resulting penalties here are unreasonably harsh as applied to a judicial incumbent who sought a higher judicial office. Judicial candidates are forbidden by Supreme Court Rule from having "hands-on" management of their campaign finances and must delegate campaign finance management duties (including filing disclosure reports) to trusted volunteers and staff. Also, 90 days after the election the campaign finance committee is forbidden by Supreme Court Rule from continued fundraising to cover campaign debts and potential campaign finance penalties. Nevertheless, if judicial candidate committees have outstanding unpaid campaign finance penalties, the candidates are subject to ballot forfeiture penalties. This results in uniquely making judicial candidates personally liable for the penalties assessed against their committees.

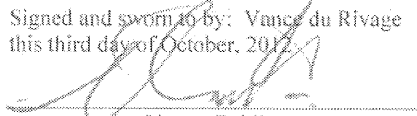
Here, the Committee has ceased fundraising (as required) on June 18, 2012, settled outstanding debts spending its remaining funds to zero and closing its bank account. Thereafter, on August 9, 2012 the Candidate herself paid a State Board campaign finance penalty of \$2750 with personal funds.

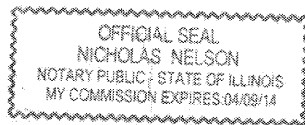
Without question, the imposition of a penalty here is appropriate but the amount assessed in the September 4, 2012 letter is, under the circumstances, unreasonable and excessive.

The Committee respectfully requests a hearing at which to present its evidence of the above-detailed facts and its argument to the Board.


(Signature of Chairman)

Signed and sworn to by: Vance du Rivage
this third day of October, 2012


Notary Public
SEAL



CANON 7

A Judge or Judicial Candidate Shall Refrain
From Inappropriate Political Activity

A. All Judges and Candidates.

(1) Except as authorized in subsections B(1)(b) and B(3), a judge or a candidate for election to judicial office shall not:

- (a) act as a leader or hold an office in a political organization;
- (b) publicly endorse or publicly oppose another candidate for public office;
- (c) make speeches on behalf of a political organization;
- (d) solicit funds for, or pay an assessment to a political organization or candidate.

(2) A judge shall resign from judicial office upon becoming a candidate for a non-judicial office either in a primary or in a general election.

(3) A candidate for a judicial office:

(a) shall maintain the dignity appropriate to judicial office and act in a manner consistent with the integrity and independence of the judiciary, and shall encourage members of the candidate's family to adhere to the same standards of political conduct in support of the candidate as apply to the candidate;

(b) shall prohibit employees and officials who serve at the pleasure of the candidate, and shall discourage other employees and officials subject to the candidate's direction and control from doing on the candidate's behalf what the candidate is prohibited from doing under the provisions of this Canon;

(c) except to the extent permitted by subsection B(2), shall not authorize or knowingly permit any other person to do for the candidate what the candidate is prohibited from doing under the provisions of this Canon;

(d) shall not:

(i) make statements that commit or appear to commit the candidate with respect to cases, controversies or issues within cases that are likely to come before the court; or

(ii) knowingly misrepresent the identity, qualifications, present position or other fact concerning the candidate or an opponent; and

(e) may respond to personal attacks or attacks on the candidate's record as long as the response does not violate subsection A(3)(d).

B. Authorized Activities for Judges and Candidates.

(1) A judge or candidate may, except as prohibited by law:

(a) at any time,

(i) purchase tickets for and attend political gatherings;

(ii) identify himself or herself as a member of a political party; and

(iii) contribute to a political organization;

(b) when a candidate for public election

(i) speak to gatherings on his or her own behalf;

(ii) appear in newspaper, television and other media advertisements supporting his or her candidacy;

(iii) distribute pamphlets and other promotional campaign literature supporting his or her candidacy; and

(iv) publicly endorse or publicly oppose other candidates in a public election in which the judge or judicial candidate is running.

(2) A candidate shall not personally solicit or accept campaign contributions. A candidate may establish committees of responsible persons to conduct campaigns for the candidate through media advertisements, brochures, mailings, candidate forums and other means not prohibited by law. Such committees may solicit and accept reasonable campaign contributions, manage the expenditure of funds for the candidate's campaign and obtain public statements of support for his or her candidacy. Such committees are not prohibited from soliciting and accepting reasonable campaign contributions and public support from lawyers. A candidate's committees may solicit contributions and public support for the candidate's campaign no earlier than one year before an election and no later than 90 days after the last election in which the candidate participates during the election year. A candidate shall not use or permit the use of campaign contributions for the private benefit of the candidate or others.

(3) Except as prohibited by law, a candidate for judicial office in a public election may permit the candidate's name: (a) to be listed on election materials along with the names of other candidates for elective public office, and (b) to appear in promotions of the ticket.

C. Incumbent Judges. A judge shall not engage in any political activity except (i) as authorized under any other provision of this Code, (ii) on behalf of measures to improve the law, the legal system or the administration of justice, or (iii) as expressly authorized by law.

D. Applicability. Canon 7 generally applies to all incumbent judges and judicial candidates. A successful candidate, whether or not an incumbent, is subject to judicial discipline for his or her campaign conduct; an unsuccessful candidate who is a lawyer is subject to lawyer discipline for his or her campaign conduct.

A lawyer who is a candidate for judicial office is subject to Rule 8.2(b) of the Rules of Professional Conduct.

JUSTICE HEIPLE, concurring:

First and foremost, Rule 67 and these canons of judicial ethics are intended as a working guide of conduct for judges and judicial candidates. They indicate areas of activity that are deemed to be within and without proper limits of judicial conduct. In between, of course, are uncertain areas which lack definition. What the canons seek is judicial conduct that is in keeping with the high calling of judicial office. They are not intended to facilitate the filing of casual or vindictive charges against judges or judicial candidates.

The application of these canons require a high measure of common sense and good judgment. Matters that are either minor in nature or susceptible to differing interpretations ought not result in charges being filed. Charges of misconduct should be limited to matters that are both clearly defined and commonly accepted as serious.

The canons have attempted to recognize that Illinois has an elective judiciary. As a practical matter, the Illinois judge must involve himself in matters political. That is to say, the judge or candidate must be a participant in the system. A corollary of this activity is the public's right to know whom they are voting for. Realistically speaking, it is not enough for the judge or candidate to merely give name, rank and serial number as though he were a prisoner of war. Rather, the public has a right to know the candidate's core beliefs on matters of deep conviction and principle. While the candidate is not required to disclose these beliefs, he should neither be deterred nor penalized for doing so. In so doing, however, the judge or judicial candidate ought to refrain from stultifying himself as to his evenhanded participation in future cases. Rule 67 attempts to make that clear.

What fair-minded people seek in a judge is a person who will be fair and impartial and who will follow the law. Those considerations overshadow matters of nonjudicial ideology such as socialism, antivivisection, membership in the Flat Earth Society, an obsession with gender neutral language, or whatever. The matter of nonjudicial ideology is of direct and primary concern, of course, when judges begin to act as legislators rather than jurists. Judges who adhere to the rule that their conscience is their guide and that the law must accommodate their conscience are especially deserving of close scrutiny and concern. Under our Illinois constitutional scheme, however, it is the voters who are to make that call, not a governmental prosecutorial body or an association of lawyers.

JUSTICE McMORROW, dissenting:

I dissent from the adoption of certain portions of new Rule 67 of the Code.

At the time of this writing, Illinois elects its judges. Irrespective of the merits or demerits of the elective process, it is essential to the justice system that judges be "independent, fair, and competent" so as to honor the public trust placed in them by virtue of their position. The purposes of the Code of Judicial Conduct are set forth in the Preamble to the Code. That Preamble, as amended, *inter alia*, provides:

"Our legal system is based on the principle that an independent, fair and competent judiciary will interpret and apply the laws that govern us. The role of the judiciary is central to American concepts of justice and the rule of law. Intrinsic to all provisions of this code are precepts that judges, individually and

collectively, must respect and honor the judicial office as a public trust and strive to enhance and maintain confidence in our legal system."

In this Code of Judicial Conduct, the Supreme Court of Illinois has set the standard by which judges are to be guided in their professional conduct. In my opinion, these standards should be high, and should be in keeping with the principles espoused in the Preamble. They are the guidelines which tell judges in this State in what activities they may or may not participate. The primary goal of the Code should be the attainment of a fair and impartial judiciary.

Today, in adopting certain amendments to Rule 67, the majority apparently wishes to accommodate the elective process to which judges are presently subjected. In so doing, the majority has substantially broadened the political activity in which judges may participate. For example, by deleting certain prohibitions which appeared in Rule 67 prior to the amendments, a judge may now *at any time* attend political gatherings, may make unlimited contributions to a political organization, may identify himself or herself as a member of a political party, or may purchase tickets for political dinners or other functions. Rules 67(B)(1)(a)(i), (B)(1)(a)(ii), (B)(1)(a)(iii).

However, our prior Rule 67 was not unduly restrictive. Indeed, no hardship to judges under the former rule has been demonstrated, nor has there been any hue or cry for the changes which have been adopted. I am unaware of any need for judges to make unlimited contributions to a political party, to attend political gatherings, or to identify their political party allegiance. On the contrary, upon election to judicial office, judges are to be impartial; they are to be unbiased with respect to race, gender, and political party affiliation. Upon election, judges should no longer be Democrats or Republicans. Rather, judges are elected to apply the rule of law without respect to political organization affiliation. Although I recognize the need to solicit political organizational support at the time a candidate is seeking election to the judiciary, or at such time as a judge is seeking retention, I am particularly disturbed by the amendments' allowance of a judge to engage in the political activities permitted by these amendments *at any time*.

I submit that the new rule "abandon[s] several important ethical standards that uphold the independence and dignity of judicial office" and will surely cause severe problems in the public perception of judicial candidates. (Report of the Committee on Judicial Performance and Conduct of the Lawyers' Conference of the Judicial Administration Division of the American Bar Association on the Final Draft of the Model Code of Judicial Conduct 28 (1990) (hereinafter Report of the Committee on Judicial Performance).) In my view, the new standards of the rule are too permissive with respect to the political activities of judicial candidates. The increased permissiveness in judicial candidates' political activities fosters a misguided over-politicization of the judicial election process in this State. In my judgment the time and efforts of the Illinois Supreme Court might be better expended by addressing the myriad of problems confronting the justice system, rather than considering and adopting amendments which allow judges to participate in additional political activity. I dissent from the adoption of these amendments because they are imprudent, unnecessary, and lend themselves to abuse.

In addition, I cannot agree with the majority's new view of the appropriate scope of a judicial candidate's public comment on matters that may or are likely to come before the court, provided the candidate does not "make statements that commit or appear to commit the candidate with respect to cases, controversies or issues within cases that are likely to come before the court." (Rule 67(A)(3)(d)(i).) Ultimately, the new Rule is short-sighted because it places candidates for judicial office in an unseemly position where they may feel compelled to "pander" for votes by publicly adopting views which appear popular to the electorate. See Report of the Committee on Judicial Performance at 31.

The Commentary indicates that this amendment was adopted in response to the decision of the Federal court in *Buckley v. Illinois Judicial Inquiry Board* (7th Cir. 1993), 997 F.2d 224. In that case, the Seventh Circuit Court of Appeals held unconstitutional the portion of our rule that forbids a judicial candidate from "announc[ing] his views on disputed legal or political issues." (134 Ill. 2d R. 67(B)(1)(c).) The Federal court concluded that this "announcement" prohibition invaded a candidate's constitutional rights, because it "reache[d] far beyond speech that could reasonably be interpreted as committing the candidate in a way that would compromise his impartiality should he be successful in the election." *Buckley*, 997 F.2d at 228.

It is indisputable that the constitutional guarantee of freedom of speech must be balanced against the right of the public to a judiciary which will decide the issues presented to it in the courtroom setting, on the basis of the facts and applicable law. A judicial candidate's right to free speech may be restricted where a compelling State interest is present which counterbalances the candidate's ability to speak freely. The integrity and impartiality and independence of the judiciary is, in my opinion, such a compelling State interest to which deference should be paid.

The key words in the amendment which now appear in Rule 67(A)(3)(d)(i) are "commit or appear to commit." These words are subject to varying interpretations and, I submit, are unnecessarily too broad to cure the fault found by the Federal court in the *Buckley* case. I question whether the amendment permitting a judge to speak on issues which may come before the court, provided the judge uses the magic words that the judge "is not committing" will be more problematic than the rule was prior to this amendment.

I also find disturbing the Commentary to the amendments to the effect that a judge or judicial candidate may respond to "false information concerning a judicial candidate [that] is made public." (Rule 67, Committee Commentary.) The Report of the Committee on Judicial Performance stated the following with regard to this provision:

"This new expansion of free speech for judges who might be tempted to come to the aid of another judge or judicial candidate who has been the subject of criticism in a political campaign is totally without merit. There is no reason for a judge to become involved as a spokesperson or in any other capacity for another judge who has been publicly maligned. Publicly 'correcting' what the judge regards as a misstatement of fact in a judicial campaign is one of the acts presently prohibited by the existing Code, and it should continue to be prohibited.

Most issues of 'fact' in the context of judicial elections are, at best, mixed issues of fact and opinion and at worst are pure issues of opinion. Thus, the 'narrow' exception anticipated by the draftspersons would, in reality, become a large loophole.

The new provision would put enormous pressure on judges to become actively involved in campaigns of other judges or candidates." Report of the Committee on Judicial Performance at 5-6.

I agree with these comments from the Report of the Committee on Judicial Performance regarding this new amendment to Rule 67.

In my opinion, public perception of a fair and impartial judiciary is diminished by adoption of the amendments to which I have made reference. Because the majority permits potential further politicization of the Illinois judiciary by adoption of the above-referenced amendments, I respectfully dissent.

Adopted December 2, 1986, effective January 1, 1987; amended April 20, 1987, effective August 1, 1987; amended August 6, 1993, effective immediately; amended March 24, 1994, effective immediately.

Committee Commentary

This canon regulates the extent to which a judicial officer may engage in political activity. Canon 7 adopts as its foundation the provisions of Canon 5 of the ABA Model Code of Judicial Conduct, which was adopted by the ABA in 1990.

Paragraph 7A(1). A judge or candidate for judicial office retains the right to participate in the political process as a voter.

Where false information concerning a judicial candidate is made public, a judge or another judicial candidate having knowledge of the facts is not prohibited by paragraph 7A(1) from making the facts public.

Subparagraph 7A(1)(a) does not prohibit a candidate for elective judicial office from retaining during candidacy a public office such as State's Attorney, which is not "an office in a political organization."

Subparagraph 7A(1)(b) does not prohibit a judge or judicial candidate from privately expressing his or her views on judicial candidates or other candidates for public office.

A candidate does not publicly endorse another candidate for public office by having that candidate's name on the same ticket.

Subparagraph 7A(1)(d). The ABA provisions that prohibit the following activities were deleted: attending political gatherings (5A(1)(d) of ABA), making contributions to political organizations or candidates (5A(1)(e)), and purchasing tickets for political party dinners or other functions (5A(1)(e)). These provisions were deleted because the ABA provisions adopted in subparagraph 7B(1)(a) were modified to authorize all judges and candidates to engage in such activities at any time. However, the prohibition on the solicitation of funds for, or paying an assessment to, a political organization or candidate, is adopted and renumbered as subparagraph (d).

Subparagraph 7A(3)(a). Although a judicial candidate must encourage members of his or her family to adhere to the same standards of political conduct in support of the candidate that apply to the candidate, family members are free to participate in other political activity.

Subparagraph 7A(3)(d). The ABA clause prohibiting "pledges and promises of conduct in office," found in Canon 5A(3)(d) of the Model Code (which was similar to the language of Canon 7B(1)(c) of our previous rules on political conduct) was deleted. This change was made to clarify the limitations of the rule (see *In re Buckley* (Ill. Cts. Comm'n Oct. 25, 1991), No. 91--CC--1), which gave a broader construction to the rule. Subparagraph 7A(3)(d) prohibits a candidate for judicial office from making statements that commit or appear to commit the candidate with respect to cases, controversies or issues within cases that are likely to come before the court. However, as a corollary, a candidate should emphasize in any public statement the candidate's duty to uphold the law regardless of his or her personal

views. See also paragraph 3A(6), the general rule on public comment by judges. Subparagraph 7A(3)(d) does not prohibit a candidate from making pledges or promises respecting improvements in court administration. Nor does this provision prohibit an incumbent judge from making private statements to other judges or court personnel in the performance of judicial duties. This subparagraph applies to any statement made in the process of securing judicial office. See also Rule 8.2 of the Rules of Professional Conduct.

The ABA Model Code of 1990 was modified to remove the provisions pertaining to candidates seeking appointment to judicial or other governmental office that are found in subsection B of Canon 5. Hence ABA subsections C, D and E were renumbered and are now subsections B, C and D of our Canon 7.

Paragraph 7B(1). This paragraph permits judges at any time to be involved in limited political activity. Subsection 7C, applicable solely to judges, would otherwise bar this activity.

Paragraph 7B(2). This paragraph is substantially identical to the Section 5C(2) of the 1990 ABA Model Code. The one difference is that the language prohibiting the candidates from personally soliciting publicly stated support is omitted to allow judicial candidates to appear before editorial boards of newspapers and other organizations. Paragraph 7B(2) permits a candidate to solicit publicly stated support, and to establish campaign committees to solicit and accept public support and reasonable financial contributions. At the start of the campaign, the candidate must instruct his or her campaign committees to solicit or accept only contributions that are reasonable under the circumstances. Though not prohibited, campaign contributions of which a judge has knowledge, made by lawyers or others who appear before the judge, may be relevant to disqualification under subsection C of Canon 3.

Campaign committees established under Section 7B(2) should manage campaign finances responsibly; avoiding deficits that might necessitate post-election fund-raising, to the extent possible.

Paragraph 7B(3). This paragraph provides a limited exception to the restrictions imposed by paragraph 7A(1).

Subsection 7C. Neither subsection 7C nor any other section of the Code prohibits a judge in the exercise of administrative functions from engaging in planning and other official activities with members of the executive and legislative branches of government.

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

13 DQ 139

Friends of Martin A Blumenthal 23738

Respondent

REPORT OF HEARING EXAMINER

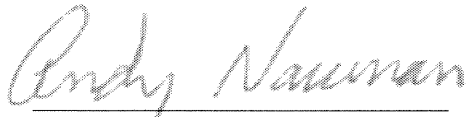
Appeal of Civil Penalty Assessment for Delinquently Filing
The December 2012 Quarterly Report

The December 2012 Quarterly Report was received by the Board on 1/28/13, 8 days late, resulting in a civil penalty assessment of \$200.

Martin Blumenthal, the candidate of the committee, appeared at the May 17 appeal hearing.

Martin Blumenthal ran for Lake County Recorder of Deeds in 2012 however he lost. Mr. Blumenthal was not aware that he was required to continue to file reports with the State Board of Elections since the committee did not have any financial activity during that quarter and he was no longer running for office. He said the committee has been basically inactive and if it were not for the committee owing him money and a potential run for office in 2014 he would have filed a Final Report. Mr. Blumenthal apologized and stated that he was not trying to skirt the law; he just didn't realize that he had to file. The late filing was inadvertent and unintentional.

A lack of knowledge in relation to disclosure rules is not an adequate excuse for delinquently filing a report. I recommend the appeal be denied. If this recommendation is accepted by the Board a \$200 penalty will be stayed as a first violation.


Andy Nauman – Hearing Examiner
May 30, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 23738

Friends of Martin A Blumenthal
PO Box 1144
Highland Park, IL 60035-1144

7011 2970 0003 6441 4238

Dear Friends of Martin A Blumenthal:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 28, 2013, 8 days late. As such, this committee has been assessed a fine of \$200.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of: _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs. Friends of)

Case No. 13.DQ.139

MARTIN A Blumenthal

Respondent(s).)

APPEAL AFFIDAVIT

I, MARTIN A BLUMENTHAL, the Candidate of the
(Name) (Chairman/Treasurer)

Friends of Martin A Blumenthal

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The candidate lost the primary for Recorder of Deeds of Lake County in March of 2012. Except for paying a few small bills and occasional transfers to other political committees, this committee has been inactive. No reportable activity occurred in the last quarter of 2012. The candidate was unaware that reporting was still required since he is no longer a candidate and the committee is inactive.


Signature of Chairman/Treasurer

Signed and Sworn to by:

MARTIN A Blumenthal

before me this 19 Day of March, 2013

Notary Public

(seal)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MQ 298

Citizens to Elect William S Boyd (ID 23872)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 March Quarterly Report

The Report was received by the Board on July 10, 2012, 59 days late, resulting in a \$5,000 civil penalty. Additionally, this Committee was assessed a \$400 civil penalty (not appealed, paid) for delinquently filing the 2011 December Quarterly Report; a \$75 civil penalty (not appealed, reduced, stayed) for a violation of contribution limits; a \$6,250 civil penalty (appealed, denied, reduced, paid) for the failure to file 8 Schedule A-1 Reports during the 4th Quarter of 2011. The total assessment is \$5,000

Linda Crane, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on November 2, 2012.

Linda Crane, Darrell Williams, the Committee's Treasurer, and Jarvis Houston, the Committee's Campaign Manager, appeared on behalf of the Respondent. Ms. Crane testified that in early spring of 2012, Mr. Williams disclosed to the Committee that he was diagnosed with prostate cancer. Prior to this time, Mr. Williams was seeking treatment however he had not informed the Committee of his diagnosis. Mr. Williams stated he had surgery in June of 2012. He filed the Quarterly report in July after he recovered from the procedure. Mr. Williams indicated that the cancer diagnosis and treatment was a personal hardship and he was unable to manage his illness combined with personal and business matters. Ms. Crane stated she was aware of the filing deadline but was unable to file the report in Mr. Williams's absence.

I sympathize with Mr. Williams during this difficult time and understand the personal distress experienced with a serious illness. I do not believe that this violation was intentional however, the deadline was missed and civil penalties incurred. I recommended that the appeal be denied. If the Board accepts this recommendation, \$5,000 will be due. (As of 9/30/12, this Committee reported a funds available balance of \$18,903.44.)



Tara Molnar – Hearing Officer

November 28, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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James R. Thompson Center
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

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Charles W. Scholz

Citizens to Elect William S Boyd
6969 South Constance Avenue
Chicago, IL 60649

ID# 23872

7011 2970 0003 6439 2628

Dear Citizens to Elect William S Boyd:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 10, 2012, 59 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 5 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31, 2012	Quarterly	\$400
October 1 through December 31, 2012	Schedule A-1	\$625
TOTAL AMOUNT NOW DUE		\$6025

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

CHICAGO

2012 OCT -2 PM 3:20

STATE BOARD OF ELECTIONS

State of Illinois)
)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
William S. Boyd)
)
Respondent(s).)

Case No. 12MQ298

APPEAL AFFIDAVIT

I, Linda R. Crane, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens to Elect William S. Boyd

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

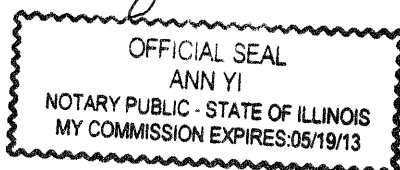
The filings were delayed because the Committee Treasurer was incapacitated by a cancer diagnoses that he did not disclose to the Committee. The Chairman of the Committee was unfamiliar with the filing procedures and was unable to complete the filings in a timely manner in the Treasurer's absence.

Signed and Sworn to by:

Ann Yi
before me this 2nd Day of
October, 2012

Notary Public

Linda R. Crane Boyd
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

13 MA 037

Friends of Vivian Covington 24877

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
The December 2011 Quarterly Report

The March 2011 Quarterly Report was received by the Board on 1/24/13, 443 days late, resulting in a civil penalty assessment of \$5,000, the June 2011 Quarterly Report was received by the Board on 1/24/13, 380 days late, resulting in a civil penalty assessment of \$5,000, the September 2011 Quarterly Report was received by the Board on 1/24/13, 317 days late, resulting in a civil penalty assessment of \$5,000, the December 2011 Quarterly Report was received by the Board on 1/24/13, 254 days late, resulting in a civil penalty assessment of \$5,000, the March 2012 Quarterly Report was received by the Board on 1/24/13, 192 days late, resulting in a civil penalty assessment of \$5,000, the June 2012 Quarterly Report was received by the Board on 1/24/13, 192 days late, resulting in a civil penalty assessment of \$5,000, the September 2012 Quarterly Report was received by the Board on 1/24/13, 66 days late, resulting in a civil penalty assessment of \$5,000, the December 2012 Quarterly Report was received by the Board on 1/24/13, 6 days late, resulting in a civil penalty assessment of \$450. The total assessment is \$35,450.

Vivian Covington, the candidate of the committee, appeared at the May 17 appeal hearing. The treasurer, Andre Covington, and the chairman, Donna Gaston were also in attendance.

Donna Gaston stated that in December of 2012 she received at her home some type of a notice that the committee failed to comply. Prior to that notice the political committee thought that the prior treasurer Milton Payton had been taking care of everything for that is what he had informed Ms. Covington. Vivian Covington now believes that Mr. Payton just thought he was required to keep the receipts. She does not believe he understood that the committee had a filing requirement with the State Board of Elections. The candidate, the chairman, and the new treasurer were also unaware that campaign disclosure reports were required to be filed. The Respondent stated that they did not receive notices from the State Board of Elections regarding the filing requirements or that they were late in filing reports until 2013, other than a notice received in December of 2012 which prompted the new treasurer Andre Covington to file the required reports. The Respondent did not realize until we were in the appeal hearing that Mr. Payton had not filed any reports on behalf of the committee. The Respondent requests that since they did not realize they had a filing requirement and they were not trying to hide anything that the Board grant the appeal or at least greatly reduce the fines. Once the committee realized they were required to file reports they tried to comply as quickly as they could. The Respondents apologized for being delinquent and they stated the reports are current and they plan on staying that way.

The candidate would have received a Notice of Obligation when she filed her nominating papers. This Notice would have informed her of her filing obligation with the State Board of Elections if she exceeded \$3,000 in receipts or expenditures in a 12 month period of time. The fact that the committee did not understand their filing requirements in the opinion of the hearing officer is not an adequate defense. Additionally, the State Board of Elections would not have sent out filing notices to this group prior to them notifying us that they were a political committee on 1/15/13 which was more than 20 months after they should have filed because the State Board of Elections would not have known this group should have been filing reports. I recommend the appeal be denied. If this recommendation is accepted by the Board the amount of \$35,450 will be due and owing. (As of 3/31/12, this Committee reported a funds available balance of \$673.33.)


Andy Nauman – Hearing Examiner
May 30, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
March 15, 2013
ID# 24877

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Charles W. Scholz

Friends of Vivian Covington
723 Wright Rd
University Park, IL 60484-2967

7011 2970 0003 6442 9256

Dear Friends of Vivian Covington:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March 2011 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2011 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 24, 2013, 443 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	June 2011 Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 24, 2013, 380 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type:	September 2011 Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2011 through September 30, 2011
Filing Period:	October 3, 2011 through October 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 24, 2013, 317 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type:	December 2011 Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2011 through December 31, 2011
Filing Period:	January 2, 2012 through January 17, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 24, 2013, 254 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type:	March 2012 Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 2, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 24, 2013, 192 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type: June 2012 Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2012 through June 30, 2012
Filing Period: July 2, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 24, 2013, 129 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type: September 2012 Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2012 through September 30, 2012
Filing Period: October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 24, 2013, 66 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type: December 2012 Quarterly Report of Campaign Contribution and Expenditures
Report Period: October 1, 2012 through December 31, 2012
Filing Period: January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 24, 2013, 6 day(s) late. As such, this committee has been assessed a fine of \$450.

The total for all new assessments is \$35,450.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of Will)

STATE BOARD OF ELECTIONS

13 APR -5 PM 1:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Vivian Covington)
Respondent(s).)

Case No. 13MA037

APPEAL AFFIDAVIT

I, Andre E. Covington, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Vivian Covington
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

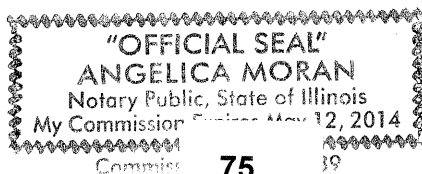
The forming of this Committee was the first time any of us have been involved with a campaign committee. We were unaware of the timing of any financial campaign disclosures. Before filing, we hadn't received any previous notices to our knowledge about filing campaign disclosures. According to our records, we reached the \$3,000.00 threshold to begin campaign disclosures at the same approximate time that our Chairman was losing her husband to cancer. She had been caring for him throughout the previous year, in and out of the hospital, unaware of any type of notices for campaign disclosure. Perhaps, the notices were undeliverable or had the wrong address, in any case, we didn't receive any notices. Late last summer, I became the Treasurer unaware of my full duties and unaware of whether we should have filed campaign disclosure forms. We finally received some type of notice at the end of December 2012. I had to quickly gather information and sort everything before attempting to complete the D1 form, and 8 quarters of D2 forms and attachments as quickly as possible. My initial attempt to submit all the forms met with a few errors on January 14. I finally got all the necessary campaign disclosures completed and filed by January 24. We don't ever expect this campaign filing problem to repeat itself again. I assure you that our Committee will remain current with the Board of Elections.

Signed and Sworn to by:

before me this 27 Day of
march, 2013

Angela Moran
Notary Public

Andre E. Covington
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

13 MA 015

Friends of Brain E Sleet 22880

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing

The June 2011, September 2011, December 2011, March 2012, and June 2012 Quarterly Reports


The June 2011 Quarterly Report was received by the Board on 1/22/13, 378 days late, resulting in a civil penalty assessment of \$5,000, the September 2011 Quarterly Report was received by the Board on 1/22/13, 315 days late, resulting in a civil penalty assessment of \$5,000, the December 2011 Quarterly Report was received by the Board on 1/22/13, 252 days late, resulting in a civil penalty assessment of \$5,000, the March 2012 Quarterly Report was received by the Board on 1/22/13, 190 days late, resulting in a civil penalty assessment of \$5,000, and the June 2012 Quarterly Report was received by the Board on 1/22/13, 127 days late, resulting in a civil penalty assessment of \$5,000. The total assessment is \$25,000.

Brian Sleet, the candidate of the committee, appeared at the May 17 appeal hearing.

Brian Sleet stated that he ran for alderman in the city of Chicago in 2011. Shortly after the election he said his computer crashed and he had to put together all of his records from his receipts. Mr. Sleet stated that his computer crashed a second time and he lost everything again. He also stated that after his computer crashed the second time he had difficulty installing the SQL server on his computer and he had to get caught up on his other work. In June of 2012 Mr. Sleet attended a Board meeting regarding his failure to file reports. He said that he was informed at that meeting he only had to file a Final Report. He returned to the State Board of Elections on 6/25/12 and filed a Final Report from 4/1/12 – 6/25/12. Mr. Sleet returned to the State Board of Elections on 1/22/13 for another hearing because he failed to file reports covering 4/1/11 – 3/31/12 however he did not believe those reports were required since the Board had only instructed him to file a Final Report. Mr. Sleet filed the June 2011, September 2011, December 2011, and the March 2012 Quarterly reports on that day. Mr. Sleet believes that he should not be assessed for these fines for the Board instructed him to file a Final Report and he did. Additionally, he believes the maximum fines are not warranted for he had technical difficulties, he was not acting maliciously, and there was no activity during those periods of time. Furthermore, he stated that if he was instructed to file the intervening reports by the Board the first time he was in front of them the reports would have been filed sooner and the June of 2012 Quarterly Report would not have even been required to have been filed. Mr. Sleet believes being assessed the maximum fines for these reports removes any incentive for responding to the Board of Elections. He stated that if there is no difference in liability between compliance and non-compliance a Respondent could intentionally violate the act and if they were unsuccessful in obtaining office simply file a final report to escape liability.

After the hearing was conducted I sat down with Mr. Sleet and found out that the Final Report filed on 6/25/12 was not accurate. The committee paid back a loan in 2011 rather than 2012. Furthermore, the committee stopped having financial activity in 2011 and the bank account was closed in 2011. Mr. Sleet amended the June of 2011 Quarterly Report to show the loan was paid back on 4/2/11 rather than 4/2/12

and submitted a letter to the State Board of Elections indicating that the amended June 2011 report filed on 5/21/13 was supposed to be the committee's Final Report. I am troubled that it took this committee more than 2 years to go back and fix their reports; however, by amending the June 2011 Quarterly Report and filing the letter of correspondence to Finalize the committee, they would not have been required to file the September 2011, December 2011, March 2012, and June 2012 Quarterly Reports. I recommend the appeal be granted on the September 2011, December 2011, March 2012, and June 2012 Quarterly Reports. However, I recommend the appeal be denied in relation to the June of 2011 Quarterly Report. Mr. Sleet presented a computer related defense however I do not believe that was the cause of the report being late. I looked into the IDIS service requests and Mr. Sleet did have a problem installing the IDIS program on 1/20/11 which was fixed by the next day however I could not find any further IDIS service requests after that time. Additionally, I feel the time it took Mr. Sleet to file the Final Report to be excessive and he stated that he was busy doing other work. The June 2011 Quarterly Report was required to be filed on 7/15/11 and if you go along with the idea that the Final Report filed on 6/25/12 should have covered 4/1/11 – 6/30/11 rather than 4/1/12 – 6/25/12 the report was still more than 11 months late. Considering the report was more than 200 business days late I recommend a \$5,000 civil penalty be imposed. However, as this would be considered a first violation, I also recommend the penalty be stayed.


Andy Nauman – Hearing Officer
May 30, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 22880

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Brian E Sleet
PO Box 19322
Chicago, IL 60619-0322

7011 2970 0003 6442 9119

Dear Friends of Brian E Sleet:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June 2011 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 22, 2013, 378 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	September 2011 Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2011 through September 30, 2011
Filing Period:	October 3, 2011 through October 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 22, 2013, 315 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type:	December 2011 Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2011 through December 31, 2011
Filing Period:	January 2, 2012 through January 17, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 22, 2013, 252 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type:	March 2012 Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 2, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 22, 2013, 190 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type:	June 2012 Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 2, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 22, 2013, 127 day(s) late. As such, this committee has been assessed a fine of \$5000.

The total for all new assessments is \$25,000.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS

State of Illinois)
)
 County of Cook)

13 APR 15 AM 0:20

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

RECEIVED

APR 15 2013

State Board of Elections

IN THE MATTER OF

ILLINOIS STATE BOARD OF ELECTIONS

Complainant

Vs.

FRIENDS OF BRIAN E. SLEET

Respondent.

Case No

13MA015

APPEAL AFFIDAVIT

I, Brian E. Sleet, the Chairman of Friends of Brian E. Sleet Committee, first being duly sworn, deposes and states that he represents that said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. That the reasons for not filing the complaint were technical and not malicious so the maximum fine is not warranted in this case.
2. There was no activity in the committee for the un-filed reports with no money raised or spent so the maximum fine is again not warranted in this case.
3. That a Final report was filed on June 25, 2012 with no intervening reports based on the advice of the Preliminary Hearing Officer. Absent that advice, most of the intervening reports would have been filed sooner and the June 2012 report would not have been late at all and thus not eligible for a fine.
4. It sets a bad precedent to give maximum fines for late files reports as it removes any incentive from responding to the Board of Elections. If there is no difference in liability between compliance and non-compliance a Respondent could intentional violate the act and if they were unsuccessful in obtaining office simply file a final report to escape liability. There must be an incentive for complying with the Board.

Signed and Sworn to by:

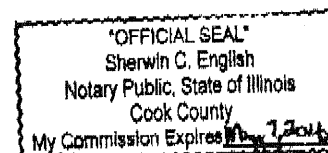
Brian E Sleet

Brian E. Sleet, Chairman

before me this 15th Day of APRIL, 2013

Notary Public Sherwin English

(seal)



Sherwin English

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MA 112

Joy Cunningham for Justice 23691

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st and 2nd Quarters of 2012

This committee received four \$1,000 contributions on 1/17/12, 1/28/12, 2/22/12, and 2/26/12, one \$2,000 contribution on 5/17/12, one \$3,387.94 contribution on 2/8/12, and one \$5,000 contribution on 4/9/12, and reported these contributions to the Board between 1 and 89 business days late, resulting in a civil penalty assessment of \$7,190.97. In addition, the Committee has previously been assessed a \$250 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 3rd Quarter of 2011; two \$625 civil penalties (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 4th Quarter of 2011; six \$250 civil penalties (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 4th Quarter of 2011. Furthermore, the committee also has an appeal pending on Schedule A-1 violations for the 1st Quarter of 2012 in the amount of \$22,500. The total assessment not including the pending appeal amount is \$7,190.97.

The Respondent was represented by attorney Richard Means at the February 15th appeal hearing. Vance Du Rivage, the treasurer of the committee was also present.

Richard Means stated that the committee acknowledges they were tardy in reporting the contributions from Rachael Dvorken and Pavalon & Gifford. However, he stated that these contributions were inadvertent violations that pertained to an online contribution and an in-kind contribution rather than a contribution that was received by check with which they had better compliance.

In relation to the Alan Martin contribution violations Vance Du Rivage stated that only one contribution was received from Mr. Martin rather than two. A printout was provided to the hearing officer that shows a check from Alan Martin was deposited on 1/18/12. The committee filed a Schedule A-1 for the Alan Martin contribution on 1/16/12. However, the contribution date was reported as 11/28/11 rather than the deposit date of 1/18/12. The committee amended its reports to show that only one contribution was received from Alan Martin.

Mr. Means stated that the River North Anesthesia Consultants contribution was reported on a Schedule A-1 under the name of River North's principle Radha Sukhani on 2/27/12. Mr. Means acknowledges that this contribution was not filed timely for the contribution was received within 30 days prior to an election.

In relation to the Perry Browder contribution the Respondent identified this as another double reporting error. The committee filed a Schedule A-1 on 5/22/12 from Mr. Browder's law firm Simmons Law Firm. The Respondent indicated that they only received one contribution from Simmons Law firm and a copy of the check and the deposit slip were provided to the hearing officer. Vance Du Rivage stated that the committee did not receive a check from Perry Browder during this period of time.

In relation to the in-kind contribution services provided by Mr. Means he stated that the wrong date was originally reported. The in-kind contribution was for services that were donated at a flat rate value for the

entire quarter. The committee reported the in-kind services on a Schedule A-1 that was filed on 4/17/12. However, the Committee amended the June 2012 Quarterly Report and changed the date of the contribution to reflect that the contribution was received on 6/30/12 because Mr. Means services were performed throughout the entire quarter.

The Committee indicated that the Rachael Dvorken \$1,000 contribution and Pavalon & Gifford \$3,387.94 in-kind contribution were tardy. I recommend the appeal be denied for lack of an adequate defense for these two contributions. This would be considered a third or subsequent delinquent Schedule A-1 so the civil penalty would be $(\$500 + \$1,690.97) \$2,190.97$ for these two contributions.

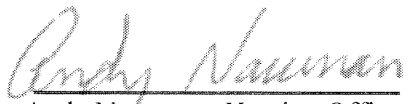
Vance Du Rivage stated that the Committee only received one contribution from Alan Martin. The Committee filed a Schedule A-1 for this contribution on 1/16/12 and a bank print out provided to the hearing officer shows the contribution was deposited on 1/18/12. The Committee has amended the March 2012 Quarterly Report to reflect that only one contribution was received from Alan Martin and that the receipt date was 1/17/12. Therefore, I recommend the appeal be granted in relation to the two Alan Martin contributions. However, I also recommend the Committee amend their March 2012 Quarterly Report to reflect that the contribution from Alan Martin was received on 1/18/12 rather than 1/17/12 within 30 days of the Board's Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000.

The Committee reported on a Schedule A-1 they received a \$1,000 contribution from River North's principle Radha Sukhani rather than River North Anesthesia Consultants. The Respondent stated that the contribution was actually from River North Anesthesia Consultants and they recognize the Schedule A-1 was tardy. I recommend the appeal be denied for lack of an adequate defense for this contribution. This would be considered a third or subsequent delinquent Schedule A-1 so the civil penalty would be \$500.

The Respondent stated that the Perry Browder contribution was reported on a quarterly report twice in error, once as a contribution from Perry Browder and once under the name of his law firm Simmons Law Firm. The Committee amended the June 2012 Quarterly Report and removed the Perry Browder contribution. Therefore, I recommend the appeal be granted in relation to this contribution.

The Committee amended the June 2012 Quarterly Report and changed the receipt date of the in-kind contribution from Mr. Means to 6/30/12. However, Mr. Means did not give the Committee an in-kind notification form. Therefore, a contribution of services would be deemed received on the date the candidate, chairman, or treasurer of the recipient committee, or the public official obtains knowledge of the in-kind contribution. I believe the Committee had knowledge of these services for Mr. Means stated that after the candidate lost the March 20, 2012 primary election, he discussed the legal work needed to wind up the campaign and orally said that he would donate his services up to the legal limit of \$5,000. Mr. Means did not log his hours in relation to his client and in the absence of when the work was actually performed, I believe the services would have to be reported when they were orally informed of the donation. The Committee originally reported a receipt date of 4/9/12 for the services on a Schedule A-1 that was filed on 4/17/12 and I believe the 4/9/12 would therefore be the proper deemed receipt date. I recommend the appeal be denied in relation to this contribution. This would be considered a third or subsequent delinquent Schedule A-1 so the civil penalty would be \$2,500.

In summary, I recommend the appeal be granted in relation to the two Alan Martin contributions and the Perry Browder contribution; however, I recommend the appeal be denied in relation to the Rachael Dvorken contribution (\$500 assessment), the Pavalon & Gifford contribution (\$1,690.97 assessment), the River North Anesthesia Consultants contribution (\$500), and the Richard Means contribution (\$2,500). If this recommendation is accepted by the Board, a \$5,190.97 civil penalty will be due and owing. (The Committee has filed a Final Report.)


Andy Nauman – Hearing Officer
May 23, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012
ID# 23691

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Joy Cunningham for Justice
Vance Du Rivage
1429 W Catalpa Ave
Chicago, IL 60640

7012 1010 0002 5104 3656

Dear Joy Cunningham for Justice:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on December 1, 2012, 30 day(s) late. As such, this committee has been assessed a fine of \$750.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act for the first quarter of 2012:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Rachael Dvorken	2/26/2012	\$1000	4/16/2012	33	\$500
Alan Martin	1/17/2012	\$1000	4/16/2012	56	\$500
Alan Martin	1/28/2012	\$1000	6/4/2012	89	\$500
River North Anesthesia Consultants	2/22/2012	\$1000	4/16/2012	35	\$500
Pavalon & Gifford	2/8/2012	\$3387.94	4/16/2012	40	\$1690.97

The committee is fined a **total** of \$3690.97 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$3691, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

This committee also failed to timely file the Schedule A-1's for the second quarter of 2012:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Perry Browder	5/17/2012	\$2000	7/14/2012	25	\$1000
Richard Means	4/9/2012	\$5000	7/14/2012	1	\$2500

The committee is fined a **total** of \$3500 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$3500, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$7941.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed January 17. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through March 31 2012	Schedule A-1	\$22,500 (appeal pending)
TOTAL AMOUNT NOW DUE		\$30,441

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
) SS.
County of Cook)

STATE BOARD OF ELECTIONS

13 JAN 16 AM 8:13

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

Illinois State Board of Elections,
Complainant

VS.

Joy Cunningham For Justice (ID# 23691),
Respondent

Case No. 12 MA 112

APPEAL AFFIDAVIT, REQUEST FOR HEARING

I, Vance du Rivage, the Chairman of Joy Cunningham for Justice Committee, after first being duly sworn deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of civil penalties totaling \$7941.00 issued on December 18, 2012 and that such reasons and defenses are:

The Committee does not deny that the A-1 forms due to be filed for the Rachael Dvorken and Pavalon & Gifford contributions were not filed but that the contributions were properly reported at the end of the quarterly period. These were, respectively on-line contributions and in kind contributions for the rental and office supplies for campaign office over a period of time and not contributions by check for which we had better compliance.

The Alan Martin contribution complained of was a double reporting and we mistakenly reported it twice on quarterly D-2s but timely filed an A-1 for this single contribution on January 16, 2012.

The River North Anesthesia contribution was reported on an A-1 under the name of River North's principal Radha Sukhani on February 27, 2012. We recognize that that A-1 was 5 days tardy being within the 30 days prior to the election.

The Perry Browder contribution complained of was another double reporting on our part and we mistakenly reported it twice on quarterly D-2s but timely filed an A-1 for this single contribution under the name of Mr. Browder's law firm, Simmons Law Firm on May 22, 2012.

The in-kind contribution for our campaign attorney, Richard K. Means, was a contribution of legal services for the entire second quarter and the A-1 should have properly been reported at the close of the quarterly period.

We have filed amended D-2 forms correcting our reporting errors and will complete those amendments prior to the hearing on this appeal.

Further, the resulting penalties here are unreasonably harsh as applied to a judicial incumbent who sought a higher judicial office. Judicial candidates are forbidden by Supreme Court Rule from having "hands-on" management of their campaign finances and must delegate campaign finance management duties (including filing disclosure reports) to trusted volunteers and staff. Also, 90 days after the election the campaign finance committee is forbidden by Supreme Court Rule from continued fundraising to cover campaign debts and potential campaign finance penalties. Nevertheless, if judicial candidate committees have outstanding unpaid campaign finance penalties, the candidates are subject to ballot forfeiture penalties. This results in uniquely making judicial candidates personally liable for the penalties assessed against their committees.

Here, the Committee has ceased fundraising (as required) on June 18, 2012, settled outstanding debts spending its remaining funds to zero and closing its bank account. Thereafter, on August 9, 2012 the Candidate herself paid a State Board campaign finance penalty of \$2750 with personal funds.

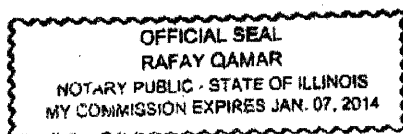
Without question, the imposition of a penalty here is appropriate but the amount assessed in the December 18, 2012 letter is, under the circumstances, unreasonable and excessive.

The Committee respectfully requests a hearing at which to present its evidence of the above-detailed facts and its argument to the Board. Please email our attorney, Richard Means, at rmeans@richardmeans.com to arrange a hearing date convenient to the Board.

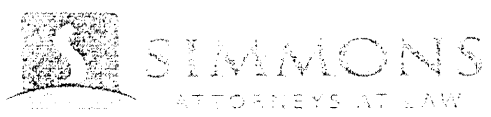

(Signature of Chairman)

Signed and sworn to by: Vance du Rivage
January 15, 2013.

 1/15/13
Notary Public
SEAL.



12 M 11



First Clover Leaf Bank
6814 Goshen Road
Edwardsville, IL 62025

ATT: Perry Browder

** Void after 120 day
70-7098/21

CHECK # 112
DATE 051420

Simmons Browder Gianaris Angelides & Barnerd LLC
One Court Street
Alton, IL 62002

*****2.000

PAY Two Thousand and 00/100

TO THE Joy Cunningham for Justice
ORDER OF

Authorized Signature

[Redacted line]

1688

2-2565/713

DATE 5/12/12

NEIL K. QUINN
FRITH ANN B. QUINN
JRC SHERIDAN RD.
WYOMING, IL 60093

PAY TO THE ORDER OF Joy Cunningham for Justice 1000 -
one thousand 00/100

HARRIS PRIVATE BANK

1688

JOSEPH A. POWER, JR. 12-84
SUSAN V. POWER
344 W. WELLINGTON AVE.
CHICAGO, IL 60657

2-1501
710

DATE 5/16/12

19510

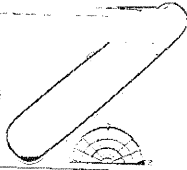
PAY TO THE ORDER OF Joy Cunningham for Justice \$ 4850.00
four thousand eight hundred 00/100

THE NORTHERN TRUST COMPANY
Northern Trust

MEMO

87

PRODUCT 100213



120 SOUTH LA SALLE STREET • CHICAGO, ILLINOIS 60603

May 18, 2012

JOY CUNNINGHAM FOR JUSTICE

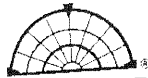
1:07:10064,66: 2297166"

600

P. Brown 200 -
N. Brown 100 -
J. Power 4950 -

6950 -

69



THE PRIVATE BANK

www.thepriatebank.com

Client Receipt

Deposits may not be available to the Bank's collection agreement. TO OBTAIN THIS RECEIPT

88

and are subject to the provisions of the Uniform Commercial Code, UCC, and the Uniform Commercial Code, UCC.

Member FDIC



Account Number: 4804896910
 Capture Date: January 18, 2012
 Item Number: 14265000213330
 Posted Date: January 18, 2012
 Serial Number: 436
 Amount: 1,000.00

ALAN J MARTIN 1500 WHITE EAGLE DR. NAPEVILLE, IL 60564		2-2566/710	436
DATE: 11/21/11			
PAY TO THE ORDER OF	Joy Cunningham for Justice \$1,000.00		
	One-thousand and 00/100 DOLLARS		
HARRIS.	Harris N.A.		
MEMO	1517 Reception/Donation		
[Redacted]		0436	

ENDORSE HERE

CREDIT TO THE ACCOUNT OF THE WITHIN NAMED BANK

DO NOT WRITE IN THESE SPACES

STATE BOARD OF ELECTIONS

2329 S MacArthur Blvd

Springfield, Illinois 62704

217/782-4141

Sharon Steward, Director, Campaign Disclosure Division

To: Rupert T. Borgsmiller, Executive Director, Members of the Board
Re: Payment of Civil Penalties - Informational
Date: 6/6/2013

The following committees have made payment of outstanding civil penalties for the period
of 5/9/2013 - 6/6/2013

24449	Hanover Township Election Victory Fund	\$600.00
7054	Tax Accountability	\$675.00
23776	Committee to Elect Arthur P Wheatley	\$440.00
18247	Macon County Farm Bureau Political Cmte	\$850.00
23662	Citizens to Elect Stanley L Hill	\$1,350.00
22763	Friends of Chad Kalecki	\$400.00
21181	Friends of Dan Sugrue	\$300.00
19460	Polish American Congress PAC	\$550.00
24389	Northbrook Caucus of 2013	\$275.00
14895	Committee for a Better Berwyn	\$150.00
24549	Citizens for Jim Webster	\$425.00
17700	Citizens for Leon Rockingham	\$440.00
12698	Coalition Party of Worth Twp	\$100.00
24472	Friends of David Moore	\$325.00

TOTAL \$6,880.00

Year to Date Totals:

12/7/12 to 1/11/13: \$28,761.50

1/11/13 to 2/7/13: \$15,818.43

2/8/13 to 3/5/13: \$8751.14

3/5/13 to 4/3/13: \$17,820.45

4/3/13 to 5/8/13: 17594.50

5/9/2013 to 6/6/2013: \$6880.00

Memorandum

From the desk of....Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Chairman McGuffage
Vice Chairman Smart
Members of the Board
Subject: Consent Decree Status Report
Date: June 6, 2013

Pursuant to the Consent Decree entered into on January 10, 2013 between the Illinois State Board of Elections and the United States Department of Justice, the State Board of Elections provided a status report on June 3, 2013 on proposed legislation regarding military and overseas voters' timely receipt of absentee ballots.

This status report advised the DOJ that on February 26, 2013, House Bill 3266, was introduced in the Illinois House of Representatives. This legislation would amend Section 25-7 of the Illinois Election Code providing additional time to schedule a special primary election and a special election to fill a vacancy in the office of U.S. Representatives in Congress. Illinois takes into account the 45 day ballot transmittal requirements of UOCAVA.

While the legislation was sponsored by Majority Leader Barbara Flynn Currie, we are sorry to report that the legislation was not called for a vote in the House.

We will once again advocate for this legislation in the 2013 fall veto and/or Spring 2014 Session.

This is the last report due to the DOJ under this consent decree.

Thank you.

State Board of Elections
2329 So. MacArthur Blvd.
Springfield, IL 62704



Illinois General Assembly

[Help](#)

Elections 2013

Report Options

AM0181	Antonio Muñoz	APPOINT - CHARLES SCHOLZ	05/30/2013 Senate Appointment Confirmed
AM0182	Antonio Muñoz	APPOINT - BETTY J. COFFRIN	05/30/2013 Senate Appointment Confirmed
AM0183	Antonio Muñoz	APPOINT - ERNEST L. GOWEN	05/30/2013 Senate Appointment Confirmed
AM0184	Antonio Muñoz	APPOINT - CASANDRA WATSON	05/30/2013 Senate Appointment Confirmed
HB0034	Jack D. Franks	ELECTIONS-TECH	01/09/2013 House Referred to Rules Committee
HB0035	Jack D. Franks	ELECTIONS-TECH	01/09/2013 House Referred to Rules Committee
HB0068	Lou Lang	ELEC DAY REGISTRATION	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0105	s Linda Chapa LaVia (Don Harmon)	COLLEGE VOTER REGISTRATION	05/17/2013 Senate Placed on Calendar Order of 3rd Reading May 20, 2013
HB0116	h Jeanne M Ives (Daniel Biss)	PEN CD-SERVICE CREDIT-BOARDS	05/07/2013 Senate Placed on Calendar Order of 3rd Reading May 8, 2013
HB0118	Greg Harris	ELECTIONS-TECH	01/10/2013 House Referred to Rules Committee
HB0152	Thomas Morrison	ELECTIONS-COOK ASSESSOR	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0174	Bill Mitchell	DRUG ABUSE-ELECTION-PUBLIC AID	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0226	h Carol A. Sente (Terry Link)	ELECTIONS-TECH	05/20/2013 House Sent to the Governor
HB0227	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0228	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0229	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0230	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0231	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0232	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0233	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0234	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0238	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0239	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0240	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0241	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0242	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0243	Michael J. Madigan	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB0976	Bill Mitchell	ELECTIONS VOTER PHOTO ID	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB1014	Michael J. Zalewski	ELECTIONS-TECH	01/30/2013 House Referred to Rules Committee
HB1097	Lou Lang	ELECTIONS-TECH	01/30/2013 House Referred to Rules Committee
HB1123	Lou Lang	ELECTIONS-TECH	01/30/2013 House Referred to Rules Committee
HB1124	Lou Lang	ELECTIONS-TECH	01/30/2013 House Referred to Rules Committee
HB1248	Jehan A. Gordon-Booth	ELEC CD-SUPERSEDING CTY BD	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB1269	Mike Fortner	ELECTIONS-INDEPENDENT PETITION	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB1270	Mike Fortner	ELECTION JUDGE-WORK ABSENCE	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB1395	Michael J. Madigan	\$BD ELECTIONS-TECH	02/06/2013 House Assigned to Appropriations-Elementary & Secondary Education Committee
HB1560	h Renée Kosel (Don Harmon)	ELEC-EARLY VOTING PERIOD	03/12/2013 House Public Act 98-0004
HB1968	Joe Sosnowski	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
		ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee

HB1969	Joe Sosnowski		
HB1970	Tom Cross	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB1971	Tom Cross	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB1972	Tom Cross	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB1973	Tom Cross	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2151	Tom Cross	\$BD ELECTIONS-TECH	03/11/2013 House Assigned to Appropriations-General Services Committee
HB2192	Tom Cross	ELECTIONS-TECH	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2288	Mike Bost	ELECTIONS-TECH	02/19/2013 House Referred to Rules Committee
HB2291	Mike Bost	ELECTIONS-TECH	02/19/2013 House Referred to Rules Committee
HB2299	Michael J. Zalewski	ELEC CD-ABSENTEE APPLICATION	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2396	Joe Sosnowski	ELECTIONS-HOME RULE BALLOT	04/19/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2400	Joe Sosnowski	ELEC CD-PRESIDENT	02/20/2013 House Referred to Rules Committee
HB2417	Ed Sullivan, Jr.	ELECTIONS-VARIOUS	02/20/2013 House Referred to Rules Committee
HB2418	Barbara Flynn Currie (Don Harmon)	ELEC CD-SCHOOL PETITIONS	05/30/2013 House Passed Both Houses
HB2456	Mike Fortner	ELEC CD-NOMINATION VACANCY	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2541	Dan Brady	ELEC CD-ELEC PRECINCT NUMBERS	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2634	Maria Antonia Berrios	ELEC CD-PROVISIONAL BALLOT	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2636	Robert F Martwick	ELEC CD-ELECTROCAL BD	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2788	Mike Fortner	VOTING-LEGIS AND REP DISTRICTS	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2808	Linda Chapa LaVia	IL CLEAN ELECTIONS ACT	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2870	Brad E. Halbrook	PETITION FORM-BINDER CLIPS	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2884	Dan Brady	ELECT CD-COUNTY BD OF ELECTION	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2885	Mike Fortner	ELEC CD-ELECTRONIC COPY-MAPS	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2886	Michael J. Zalewski	ELEC CD-ONLINE VOTING	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2903	David Reis	ELECTIONS-CONTRIBUTION REPORT	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2926	Ron Sandack	ELEC-TIME OF SCH BD ELEC	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2948	Scott Drury	ELEC CD-OPEN PRIMARY	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2949	Scott Drury	ELEC CD-PRIMARY DATE	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB2994	André M. Thapedi (William R. Haine)	FIRST 2013 GENERAL REVISORY	05/22/2013 House Passed Both Houses
HB3007	Dwight Kay	ELECTIONS-VOTER ID	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3030	Naomi D. Jakobsson	ELEC CD-GRACE PERIOD VOTING	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3056	Jim Sacia	ELEC CD-POLITICAL COMMITTEES	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3078	Barbara Flynn Currie	ELEC CD-REPORT RESULTS-ISBE	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3079	Deborah Mell	ELEC CD-EXTEND GRACE PERIOD	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3127	Mike Smiddy	ELEC CD-COMMUNITY COLLEGE	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3150	Charles E. Jefferson	ELECTION COMMISSION - COUNTY	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3161	Jeanne M Ives	POLITICAL FUNDING REFORM ACT	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3228	Linda Chapa LaVia	IL CLEAN ELECTIONS ACT	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3234	Linda Chapa LaVia	ELEC CD-EQUIPMENT/AUDITS	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3256	Elaine Nekritz	ELEC CD-INDEPENDENT EXPENDITUR	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3266	Barbara Flynn Currie	ELEC CD-CONGRESS-	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee

HB3292	Mike Fortner	VACANCIES	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3323	Tom Cross	ELEC CD-OPEN PRIMARY	03/22/2013 House Rule 19(a) / Re-referred to Rules Committee
HB3540	Michael J. Madigan	ELECTIONS-CONTRIBUTION LIMITS	03/11/2013 House Assigned to Appropriations-General Services Committee
HB3589	Michael J. Madigan	\$BD ELECTIONS-TECH	03/11/2013 House Assigned to Appropriations-General Services Committee
SB0172	John J. Cullerton	\$STATE BOARD OF ELECTIONS	04/30/2013 Senate Re-referred to Assignments
SB0173	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0174	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0175	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0176	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0177	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0178	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0179	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0180	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0181	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0182	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0183	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0184	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0185	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0186	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0187	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0188	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0189	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0190	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0191	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0192	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0193	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0194	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0195	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0196	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0197	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0198	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0199	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0200	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0201	John J. Cullerton	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB0492	b Michael Noland (Barbara Flynn Currie)	SANITARY DIST-FOX RIVER WATER	05/31/2013 House Rule 19(a) / Re-referred to Rules Committee
SB1210	b Ira I. Silverstein (Emanuel Chris Welch)	HOMELESS BILL OF RIGHTS-NEW	05/28/2013 Senate Passed Both Houses
SB1288	David Koehler	ELECTIONS-TECH	02/05/2013 Senate Referred to Assignments
SB1361	s Dan Kotowski (Michael J. Zalewski)	ECONOMIC INTEREST STATEMENTS	05/10/2013 House Referred to Rules Committee
SB1367	David Koehler	ELEC CD-ELECTION COMMISSIONERS	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1370	Terry Link	ELECTIONS-VOTING IN PERSON	04/19/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1371	Terry Link	ELECTIONS-GRACE PERIOD	04/19/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1380	Heather A. Steans	ELECTIONS-TECH	02/06/2013 Senate Referred to Assignments
SB1393	William E. Brady	ELECTIONS VOTER PHOTO ID	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1431	Dave Syverson (Joe Sosnowski)	COUNTY BOARD MEMBERS	05/24/2013 House Rule 19(a) / Re-referred to Rules Committee

SB1459	Michael Noland	ELEC CD-EQUIPMENT/AUDITS	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1540	Chapin Rose	ELECTIONS-VOTER REGISTRATION	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1548	Andy Manar	ELEC CD-COMMUNITY COLLEGE	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1607	Michael Noland	IL CLEAN ELECTIONS ACT	04/19/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1624	Andy Manar	ELEC CD-NEW PARTIES-SIGNATURES	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1675	Matt Murphy	U.S. SENATOR SPECIAL ELECTION	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1676	Matt Murphy	ELECTIONS-NOMINATION VACANCY	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1680	^b Terry Link (Elizabeth Hernandez)	LOGGOV EMPLOYEE RIGHTS	05/31/2013 House Rule 19(a) / Re-referred to Rules Committee
SB1682	Kyle McCarter	ELECTIONS-VOTER ID	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1685	Wm. Sam McCann	ELECTIONS VOTER PHOTO ID	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1689	^h Daniel Biss (Michael J. Madigan)	ELEC CD-COUNTY ELECTORAL BOARD	05/31/2013 House Rule 19(a) / Re-referred to Rules Committee
SB1693	^s Antonio Muñoz (Edward J. Acevedo)	PEACE OFFICER ID CARDS	05/15/2013 Senate Passed Both Houses
SB1707	Ira I. Silverstein	ELEC CD-ONLINE REGISTRATION	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1739	^b Terry Link (Robert Rita)	CHICAGO CASINO DEVELOPMENT	05/31/2013 House Rule 19(a) / Re-referred to Rules Committee
SB1818	Pamela J. Althoff	ELEC CD-FILING OF PETITIONS	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1821	Darin M. LaHood	ELEC CD-DECEASED VOTERS	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1902	Terry Link	ELEC CD-POLLING PLACE HOURS	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB1921	^h Kwame Raoul (Elaine Nekritz)	PENCD-COOK-BD ELECTION	05/30/2013 Senate Passed Both Houses
SB1943	Chapin Rose	\$STATE BOARD OF ELECTIONS	02/15/2013 Senate Referred to Assignments
SB2036	Christine Radogno	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB2040	Christine Radogno	ELECTIONS-TECH	04/16/2013 Senate Re-referred to Assignments
SB2200	Terry Link	ELECTIONS-TECH	03/19/2013 Senate Re-referred to Assignments
SB2201	Terry Link	ELECTIONS-TECH	03/19/2013 Senate Re-referred to Assignments
SB2204	Michael W. Frerichs	ELECTIONS-TECH	03/19/2013 Senate Re-referred to Assignments
SB2205	Michael W. Frerichs	ELECTIONS-TECH	03/19/2013 Senate Re-referred to Assignments
SB2212	Kwame Raoul	ELECTIONS-VOTING IN PERSON	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB2214	Kwame Raoul	ELECTIONS-TECH	03/19/2013 Senate Re-referred to Assignments
SB2220	Kwame Raoul	ELECTIONS-TECH	03/19/2013 Senate Re-referred to Assignments
SB2240	John M. Sullivan	ISBE-POLLWATCHERS	04/30/2013 Senate Re-referred to Assignments
SB2308	William E. Brady	ELECTIONS-TECH	02/15/2013 Senate Referred to Assignments
SB2370	Don Harmon	ELEC CD-ONLINE VOTING	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB2402	Daniel Biss	ELEC CD-INDEPENDENT EXPENDITUR	03/22/2013 Senate Rule 3-9(a) / Re-referred to Assignments
SB2566	John J. Cullerton	\$ST BOARD OF ELECTIONS	03/08/2013 Senate Referred to Assignments
SJR0027	^s Heather A. Steans (Elaine Nekritz)	URGE CONG-CONTRIBUTION AMENDMT	05/31/2013 Senate Adopted Both Houses

Elections 2013 Totals: 157 - (House Bills: 79) (Senate Bills: 73) (Other Bills: 5) [Help](#)

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STATE BOARD OF ELECTIONS
2329 South MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss, General Counsel

Re: 2013 Heartland Governmental Ethics Conference

Date: June 6, 2013

Tom Newman, Andy Nauman, and I attended the 2013 Heartland Governmental Ethics Conference held in Louisville KY from June 2nd through June 4th. Attached please find a copy of the agenda.

Such notable speakers as Ron Mazzoli, (1971-1995) and Ben Chandler (2004-2013) who served as members of the Kentucky delegation in the U.S. House of Representatives, provided valuable insight into the realities of seeking and serving in that body. Alison Lundergan Grimes, the Kentucky Secretary of State, served as a moderator on a panel consisting of Robert Stivers II, the President of the Kentucky Senate, and Tommy Thompson, the Majority Whip of the Kentucky House of Representatives. One panel consisted of three of the most prominent and respected members of the Kentucky bar, each of whom represent clients charged with crimes resulting from government ethics violations.

To close the conference we were highly entertained by Jeff Walz, the University of Louisville women's basketball coach, who spoke of many things including the creativity necessary to successfully recruit and how to get the most from the team and staff.

The conference was highly informative and well worth the two days spent.



2013 Heartland Governmental Ethics Conference

Sunday, June 2, 2013 – Tuesday, June 4, 2013

Secretariat A & B, Lower Lobby Level, The Brown Hotel

SUNDAY, JUNE 2, 2013

11:00 a.m. – 05:30 p.m. 2013 Heartland Conference Registration, Heartland Registration Suite 915
The Brown Hotel

06:00 p.m. – 08:00 p.m. Reception, Muhammad Ali Center, 144 North Sixth Street
Courtesy Shuttles Provided to/from The Brown Hotel

MONDAY, JUNE 3, 2013

07:00 a.m. – 08:30 a.m. Breakfast: J. Graham's Cafe, The Brown Hotel

08:00 a.m. – 05:00 p.m. Registration

08:30 a.m. – 09:00 a.m. Welcome to Heartland 2013 & Louisville, Kentucky!
Kentucky Registry of Election Finance Chairman Craig C. Dilger and Special Guest

09:00 a.m. – 10:30 a.m. Political Realities: Regulating Governmental Ethics, Lobbying and Campaign Finance
Moderator: Anthony M. Wilhoit, Executive Director, Kentucky Legislative Ethics Commission
Hon. Romano L. "Ron" Mazzoli, U.S. Representative (1971-1995)
Hon. Albert Benjamin "Ben" Chandler III, U. S. Representative (2004-2013)
This panel will explore how the regulation of government ethics, lobbying, and campaign finance has evolved, contrasting pre and post-Watergate eras and the resulting laws, and weighing in on the climate created by Citizens United, along with Super PACs and "social welfare" non-profits. At the heart of this discussion will be the political realities public officials face as result of regulation in these areas.

10:30 a.m. – 10:45 a.m. BREAK

10:45 a.m. – 11:45 a.m. New Developments in Your Regulatory World: A Roundtable Discussion
Darrin Lim, Partner, Nielsen Merksamer Parrinello Gross and Leoni LLP
An interactive roundtable discussion, led by a noted California attorney, covering the latest developments in the laws governing the areas of campaign finance, lobbying and ethics, this is a wonderful opportunity to share new information and discuss challenges in a collaborative environment with partners from neighboring agencies. Recent Advisory Opinions, legislation, court cases and administrative actions will be highlighted.

12:00 p.m. – 01:00 p.m. LUNCH: Maker's Mark Bourbon House & Lounge, 446 South Fourth Street

01:15 p.m. – 02:15 p.m. The Ethics of Being a Regulator
Wesley Bizzell, Assistant General Counsel, Altria Client Services Inc.
An agency can operate effectively only if the agency's regulators are seen as upholding ethical behavior and avoiding even the appearance of impropriety. This interactive session will present various scenarios regulators could face, offering an opportunity for discussion of the ethical issues that can arise for regulators and how to prevent or address them.

- 02:15 p.m. – 03:15 p.m.** **War Stories From the Bench: Judicial Review of Agency Actions**
Hon. Thomas D. Wingate, Judge, Franklin Circuit Court
More state administrative law cases are brought in Franklin Circuit Court, located in Kentucky's capital and the seat of state government, than in any other court in Kentucky. Judge Wingate will review agency actions that most frequently yield appealable issues, and will highlight notable proceedings that involved agency decisions or application(s) of agency statutes and administrative regulations.
- 03:15 p.m. – 03:30 p.m.** **BREAK**
- 03:30 p.m. – 05:00 p.m.** **Stretching Your Work Day Hours Without Stretching You: Time Management**
Zack Culver, Licensed Psychological Practitioner, Kentucky Employee Assistance Program
Participants will be provided with different ideas on how to improve efficiency through specific organizational and planning methods. The presenter will illustrate why these techniques are useful by discussing the psychological processes involved that hinder or promote effective time management. By understanding why these techniques work, it is the workshop's goal to motivate all in attendance to apply these strategies regularly so that they can achieve mastery and be adaptable in order to make the most of their day and effectively minimize stress.
- Dinner on your own**

TUESDAY, JUNE 4, 2013

- 07:00 a.m. – 09:00 a.m.** **Breakfast: J. Graham's Cafe, The Brown Hotel**
- 09:00 a.m. – 10:00 a.m.** **Making Your Legislative Package a Reality**
Moderator: Hon. Alison Lundergan Grimes, Kentucky Secretary of State
Hon. Robert Stivers II, President, Kentucky Senate
Hon. Tommy Thompson, Majority Whip, Kentucky House of Representatives
The panelists, led by a Moderator who has succeeded in passing ambitious legislative agendas during each year she has served as Secretary of State, will provide tips on determining when an agency's laws need a tune-up, timing legislative proposals, drafting legislation, and strategizing how to get agency legislation passed.
- 10:00 a.m. – 11:00 a.m.** **Lobbying, Ethics and Campaign Finance Violations: A View From the Defense Bar**
Marc S. Murphy, Stites & Harbison PLLC
Jonathan Ricketts, Ricketts Law Offices PLLC
Kent Wicker, Partner, Reed Wicker PLLC
This Panel will include three of the most prominent and respected members of the Kentucky bar, each of whom actively defend government ethics violations before agencies, and who represent clients charged with crimes resulting from government ethics violations in both state and federal court. They will share their perspective and experiences with government regulators.
- 11:00 a.m. – Noon** **Engaging, Challenging and Retaining Your Agency Team: Borrowing Plays From the Sports World**
Special Guest Speaker from University of Louisville's NCAA Division One National Championship Athletic Department
Having the most focused and productive agency team starts with recruiting! When you start with great people, you are on the Road to Success. Then the key is keeping your team challenged and engaged. Designed for those who manage employees, this session will explore sound practices for building the team of your dreams, and keeping it!
- Noon** **Conference Adjourns**

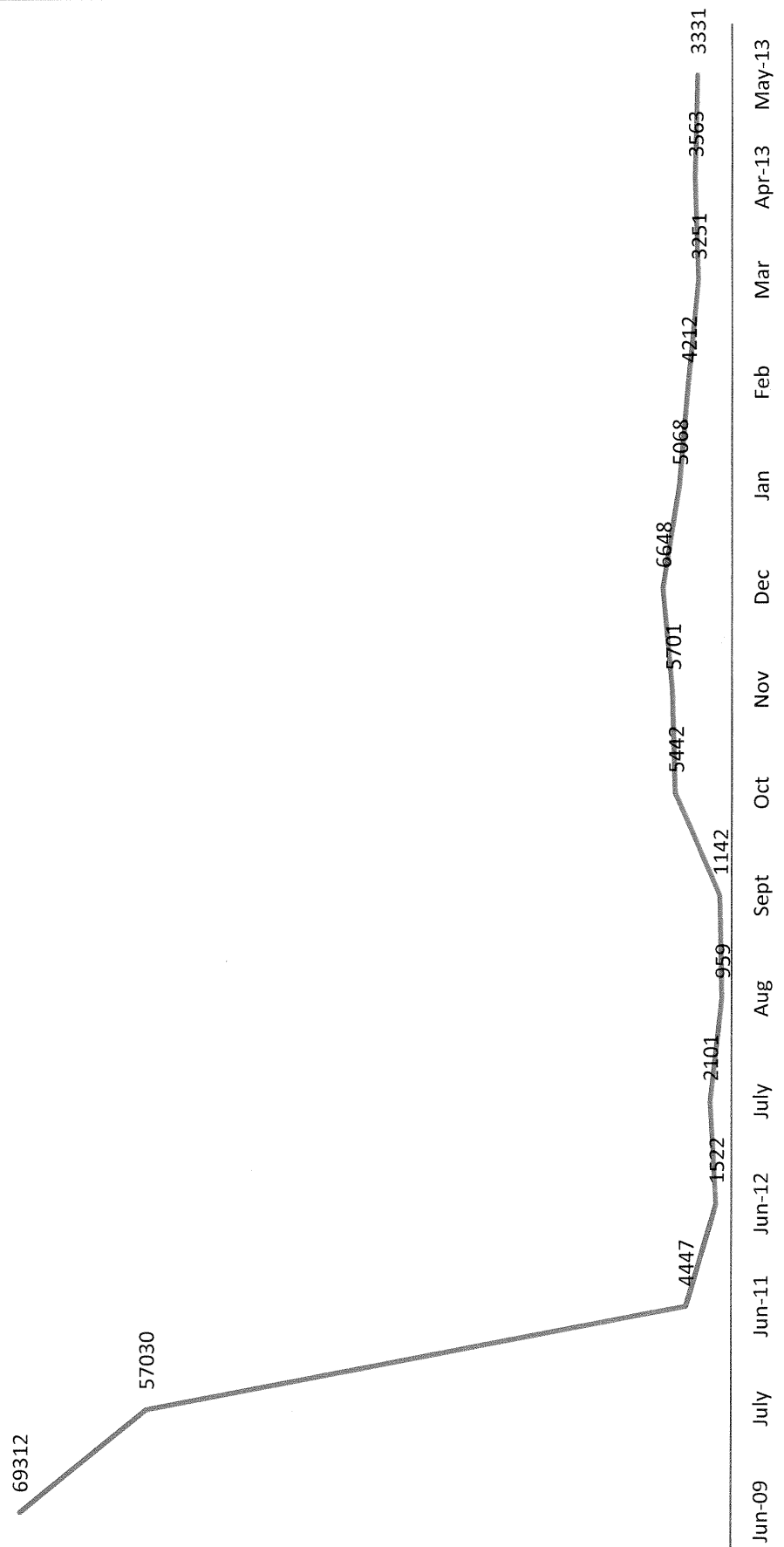
Jurisdiction	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	Oldest Match Date
Adams County	20	19	22	5	1	6	6	5	6	9	1	14	20	28	29	21	15	11	11	13	14	4/13/2012
Alexander County	5	3	2	1	1	2	2	4	5	3	3	2	4	4	6	6	6	2	2	1	1	2/6/2009
Bond County	4	2	3	4	8	9	8	7	11	13	15	15	19	26	27	29	26	27	29	30	24	12/16/2011
Boone County	11	12	10	11	13	3	5	8	5	6	7	7	6	13	15	20	3	5	4	8	8	2/6/2009
Brown County	3	0	0	0	0	2	2	1	1	1	3	0	1	7	7	6	7	0	0	1	3	4/19/2013
Bureau County	3	0	1	5	2	2	1	4	2	1	3	1	0	2	2	2	5	2	1	7	6	10/9/2012
Calhoun County	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Carroll County	2	0	0	0	0	1	2	3	4	3	4	4	3	6	7	6	10	4	3	4	5	2/29/2012
Cass County	0	0	1	0	4	2	2	3	3	2	8	5	4	10	9	6	7	3	3	5	5	5/11/2012
Champaign County	132	68	74	64	72	78	83	16	26	28	54	26	81	499	502	548	326	263	209	204	183	2/6/2009
Christian County	1	1	3	2	4	5	2	3	4	3	3	2	2	4	2	4	3	1	1	1	2	2/29/2012
Clark County	5	3	3	4	3	4	4	3	1	1	1	1	1	9	5	2	1	2	2	2	1	9/3/2010
Clay County	45	28	27	26	27	39	33	36	39	39	39	37	44	46	46	50	53	54	49	43	43	2/6/2009
Clinton County	14	7	8	1	3	10	6	9	15	14	13	8	11	18	25	19	25	29	15	18	18	2/29/2012
Coles County	6	7	5	5	2	3	0	2	2	3	6	3	2	27	23	23	27	18	15	15	14	10/9/2012
Cook County	2,085	1,874	1,879	1,391	793	535	329	168	106	191	247	40	51	1,033	1,067	1,325	754	533	464	532	535	2/6/2009
Crawford County	21	18	20	19	18	7	8	4	5	5	8	4	6	6	7	8	9	13	10	4	5	5/26/2009
Cumberland County	0	0	0	0	0	0	0	0	0	0	2	1	0	1	3	0	0	0	0	2	0	n/a
DeKalb County	14	8	12	9	7	24	4	5	2	10	12	10	39	49	49	62	53	44	14	13	16	9/6/2012
DeWitt County	5	3	4	6	2	9	2	3	7	4	12	10	2	7	12	12	12	9	9	10	11	2/6/2009
Douglas County	1	0	0	3	1	1	1	2	0	0	1	0	0	7	11	10	5	5	6	2	2	11/22/2012
DuPage County	27	42	79	35	26	40	46	15	10	15	44	6	47	275	286	351	391	296	53	58	41	2/6/2009
Edgar County	0	0	1	2	2	2	2	3	3	5	8	1	1	4	3	5	6	7	8	8	12	11/6/2009
Edwards County	3	2	3	3	2	1	1	1	2	2	2	2	1	1	1	1	1	2	1	1	1	2/6/2009
Effingham County	1	0	1	1	1	4	3	1	3	2	4	0	1	3	5	3	1	1	0	1	3	5/16/2013
Fayette County	12	3	2	1	2	3	6	6	3	3	2	1	3	1	5	7	11	8	9	13	12	10/18/2012
Ford County	0	0	0	0	1	2	0	0	2	5	12	4	3	1	5	6	9	2	1	11	3	2/6/2009
Franklin County	59	43	12	10	0	3	5	4	5	7	14	14	20	26	28	30	6	9	1	6	9	4/17/2013
Fulton County	6	9	6	8	6	16	17	19	5	4	10	9	10	11	13	15	12	14	4	5	7	2/6/2009
Gallatin County	1	2	0	0	0	1	1	2	0	0	0	0	0	0	0	0	0	3	1	0	1	5/30/2013
Greene County	3	2	2	0	0	0	0	2	2	2	2	1	3	6	8	10	9	4	3	4	5	9/6/2012
Grundy County	4	0	1	1	10	17	8	2	2	3	7	5	8	30	29	18	25	23	7	5	8	3/14/2013
Hamilton County	1	0	1	1	1	0	0	1	1	1	2	2	0	0	2	4	3	2	0	0	2	5/30/2013
Hancock County	2	2	5	3	1	0	0	0	0	0	0	0	0	1	0	1	1	0	0	2	1	5/30/2013
Hardin County	0	0	0	0	1	0	1	2	0	3	0	0	0	0	0	0	2	7	4	5	3	1/14/2013
Henderson County	0	0	0	0	0	0	0	1	0	0	2	1	0	0	0	0	0	0	0	0	0	n/a
Henry County	7	5	3	2	0	8	7	8	9	10	15	14	18	25	26	28	1	3	3	8	8	12/5/2012
Iroquois County	8	3	1	1	3	1	1	2	1	2	5	2	6	6	9	6	3	3	2	2	4	2/6/2009
Jackson County	14	12	8	6	5	12	5	5	10	11	5	3	13	31	33	37	23	21	17	18	19	8/27/2009
Jasper County	4	5	5	6	5	1	1	1	2	1	2	0	1	1	1	1	1	1	2	2	3	10/17/2012
Jefferson County	0	0	0	1	1	0	1	4	5	2	4	3	7	7	9	12	12	4	3	3	3	1/7/2011

Jurisdiction	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	Oldest Match Date
Jersey County	20	20	20	22	22	22	22	23	27	26	28	27	29	34	35	45	42	41	40	41	48	2/6/2009
JoDaviess County	2	1	1	0	0	0	0	0	0	0	0	0	0	3	3	4	5	0	0	0	1	5/30/2013
Johnson County	0	0	0	0	0	1	1	1	0	0	0	0	0	0	0	1	1	2	0	1	1	4/10/2013
Kane County	35	34	42	28	33	24	26	17	8	10	52	10	12	70	76	115	124	90	38	52	31	3/25/2011
Kankakee County	79	64	21	7	16	10	8	12	17	16	14	12	24	29	31	35	33	27	14	35	20	2/6/2009
Kendall County	13	7	10	6	15	13	2	1	9	8	21	4	8	73	83	99	86	45	23	32	6	5/25/2012
Knox County	11	14	15	15	18	0	1	2	5	5	8	7	12	4	5	7	6	9	1	5	5	3/4/2013
Lake County	46	41	62	76	80	27	34	19	70	98	165	11	16	85	94	126	150	78	64	66	78	2/6/2009
LaSalle County	15	15	13	12	17	8	4	5	16	20	25	22	38	49	53	45	41	18	10	15	8	10/26/2012
Lawrence County	0	0	0	0	1	1	1	1	1	1	1	1	3	3	2	2	3	2	2	1	1	5/18/2012
Lee County	1	0	1	1	0	1	0	0	1	2	1	0	0	6	9	3	2	1	1	7	1	4/15/2013
Livingston County	2	4	2	1	2	2	3	0	4	1	8	5	4	11	11	13	14	6	2	7	2	10/24/2012
Logan County	3	2	6	6	7	1	1	2	4	2	8	3	3	8	11	16	10	11	5	9	4	3/18/2011
Macon County	19	15	18	26	33	51	12	6	23	12	15	12	41	86	80	92	93	102	99	16	8	2/6/2009
Macoupin County	7	5	5	3	1	2	2	2	0	0	4	1	5	9	10	12	10	2	1	5	11	4/23/2013
Madison County	30	25	27	15	16	15	19	17	21	23	31	26	28	46	50	55	47	41	38	40	43	2/6/2009
Marion County	23	13	14	8	9	20	15	16	16	14	15	12	14	24	28	16	22	28	15	16	17	2/6/2009
Marshall County	3	0	5	2	2	0	1	3	2	0	0	0	3	0	0	2	1	1	1	4	4	4/8/2013
Mason County	0	0	0	0	2	2	1	2	0	1	1	1	1	0	1	2	1	2	1	2	1	3/11/2013
Massac County	4	3	3	2	4	4	4	1	1	1	2	2	2	2	2	1	2	6	4	1	0	4/28/2013
McDonough County	4	4	4	4	2	0	0	1	1	0	3	1	0	20	19	21	18	13	10	10	11	10/9/2012
McHenry County	22	18	59	74	30	7	22	35	57	73	30	16	30	54	64	98	89	88	12	28	17	11/13/2009
McLean County	18	10	10	9	10	32	31	32	48	51	72	38	18	27	46	50	44	52	52	66	19	2/6/2009
Menard County	1	3	3	4	0	4	3	4	2	4	3	3	3	3	3	4	5	3	3	4	3	2/6/2009
Mercer County	12	10	13	11	5	9	9	10	5	4	7	7	10	11	11	17	7	10	13	12	13	12/3/2010
Monroe County	0	2	0	0	4	4	3	3	4	6	8	5	5	20	21	16	11	11	12	12	13	2/29/2012
Montgomery County	0	0	0	0	2	1	1	1	1	1	1	1	1	1	2	5	3	4	3	2	2	11/20/2012
Morgan County	6	5	6	2	0	0	0	1	0	0	3	3	4	15	16	17	14	6	6	13	14	7/27/2012
Moultrie County	0	0	0	1	1	2	2	0	0	0	1	0	1	5	1	1	1	2	0	1	2	5/1/2013
Ogle County	5	2	3	2	4	1	1	1	1	0	0	0	2	8	11	8	7	4	3	5	4	5/8/2013
Peoria County	4	9	12	8	5	6	3	9	9	3	7	8	6	14	13	21	17	18	19	17	20	2/6/2009
Perry County	1	0	0	0	0	0	0	4	0	0	1	0	1	2	2	3	1	4	0	1	1	5/30/2013
Platt County	1	0	0	0	1	1	1	2	2	3	5	4	4	6	6	7	9	2	2	1	3	12/28/2012
Pike County	5	7	10	2	0	1	1	3	3	3	5	5	8	11	11	14	15	13	12	13	12	4/13/2012
Pope County	1	0	0	0	2	1	0	0	0	1	0	0	0	2	2	1	0	1	0	0	0	n/a
Pulaski County	4	3	4	5	2	3	3	3	3	2	3	3	5	9	9	8	9	9	9	8	4	2/6/2009
Putnam County	0	1	0	0	1	1	0	0	1	0	1	0	0	1	2	0	0	1	0	1	0	n/a
Randolph County	2	2	3	3	6	8	7	10	7	6	9	9	10	17	20	28	24	27	20	18	18	1/23/2012
Richland County	7	6	5	5	5	5	6	4	3	2	2	2	2	3	3	5	4	7	6	7	7	2/6/2009
Rock Island County	66	62	59	33	32	47	44	50	52	54	53	52	66	99	97	105	31	31	33	37	45	2/4/2010
Saline County	5	3	3	1	0	0	2	6	0	2	0	0	0	1	2	1	1	12	1	2	3	3/1/2013

Jurisdiction	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	Oldest Match Date
Sangamon County	17	21	26	26	23	19	18	12	12	16	14	8	22	38	40	46	30	26	25	28	25	2/6/2009
Schuyler County	1	1	1	0	2	3	0	0	1	1	2	2	0	3	7	4	3	1	1	1	1	10/9/2012
Scott County	2	6	8	2	0	0	0	0	3	3	4	3	6	5	7	5	5	4	4	4	5	5/18/2012
Shelby County	1	0	1	0	1	0	3	1	2	3	1	5	1	3	5	3	4	4	3	2	3	2/29/2012
Stark County	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2	2	2	3	3	4	4	11/21/2012
St. Clair County	13	16	13	6	17	22	23	26	36	35	47	44	47	107	113	124	125	112	99	100	101	9/3/2010
Stephenson County	11	7	7	7	15	16	16	20	24	25	28	28	34	53	53	56	53	50	50	51	48	10/22/2010
Tazewell County	21	24	41	52	38	100	58	35	13	15	28	23	21	16	21	45	19	26	16	18	16	2/6/2009
Union County	23	23	22	15	8	11	13	12	13	13	14	11	12	26	22	25	22	21	16	9	7	7/22/2011
Vermilion County	7	9	8	10	13	5	6	8	5	13	4	2	10	16	16	18	19	10	10	20	14	2/29/2012
Wabash County	7	2	0	1	0	0	0	1	3	3	5	4	5	2	2	0	1	2	1	1	2	4/30/2013
Warren County	3	3	5	5	6	2	2	3	2	3	6	6	3	17	15	8	5	5	5	5	5	2/6/2009
Washington County	12	4	5	4	3	7	6	7	10	3	4	4	8	7	8	7	5	7	1	2	3	10/16/2012
Wayne County	15	1	0	0	3	8	8	10	12	11	12	12	16	22	21	23	27	25	14	5	6	9/6/2012
White County	4	2	1	1	0	2	2	3	5	5	4	4	2	0	2	1	2	1	2	1	2	5/8/2013
Whiteside County	3	3	1	1	1	2	2	3	3	4	3	2	4	20	27	26	12	8	6	8	7	6/13/2012
Will County	245	58	83	38	99	69	39	36	65	47	90	29	67	449	465	515	517	310	220	232	227	2/6/2009
Williamson County	49	32	15	15	2	5	6	5	13	15	21	18	19	20	20	24	26	27	4	11	12	2/29/2012
Winnebago County	5	13	20	5	18	6	6	14	7	10	9	8	8	51	57	86	17	21	11	21	24	11/13/2009
Woodford County	2	2	6	4	2	7	7	17	15	9	12	4	4	5	7	7	5	8	4	4	6	2/29/2012
City of Aurora	23	28	40	33	43	5	5	5	2	19	22	0	25	119	124	129	125	89	84	102	10	10/9/2012
City of Bloomington	7	6	8	11	5	15	16	15	21	22	30	10	4	8	19	22	16	29	21	29	10	2/6/2009
City of Chicago	2,020	2,017	2,028	1,557	960	541	383	267	285	348	502	125	234	1,212	1,225	1,452	1,061	1,059	1,028	1,118	1,147	2/6/2009
City of Danville	5	7	9	4	3	2	6	10	3	5	3	3	3	0	9	11	6	3	4	10	6	2/6/2009
City of East St. Louis	2	3	6	5	7	2	2	2	8	2	1	0	0	15	13	16	20	12	14	14	11	10/9/2012
City of Galesburg	7	9	6	8	7	3	2	2	2	2	2	3	8	16	16	10	8	7	3	4	6	3/29/2010
City of Peoria	22	30	34	35	40	101	61	27	25	8	22	19	11	25	29	45	34	36	44	51	54	2/6/2009
City of Rockford	15	20	24	12	34	17	17	25	9	13	16	11	11	84	94	123	32	41	18	28	27	2/6/2009
	5,548	4,940	5,081	3,888	2,769	2,160	1,608	1,240	1,332	1,522	2,101	959	1,442	5,442	5,701	6,648	5,068	4,212	3,252	3,563	3,331	

Statewide Duplicate Voter Registrations June 2009 - May 2013

Series1



<u>County</u>	Total Voters Registered as of 6/4/2013	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 5/1/13	% Reg. vs. 18 and Over as of 6/4/2013
ALEXANDER	5,602	8,238	68.00%	6,353	88.12%	88.18%
CLARK	12,569	16,335	76.95%	12,617	100.05%	99.62%
CLINTON	24,281	37,762	64.30%	29,180	83.26%	83.21%
HARDIN	3,228	4,320	74.72%	3,444	94.11%	93.73%
JASPER	6,836	9,698	70.49%	7,494	91.31%	91.22%
MASSAC	11,041	15,429	71.56%	11,911	92.36%	92.70%
MENARD	8,746	12,705	68.84%	9,704	90.60%	90.13%
MERCER	11,838	16,434	72.03%	12,714	92.98%	93.11%
OGLE	35,112	53,497	65.63%	40,253	87.69%	87.23%
PULASKI	4,577	6,161	74.29%	4,756	96.30%	96.24%
RICHLAND	11,691	16,233	72.02%	12,625	92.70%	92.60%
ROCK ISLAND	88,328	147,546	59.86%	114,359	77.47%	77.24%
STARK	4,142	5,994	69.10%	4,583	90.51%	90.38%
UNION	12,075	17,808	67.81%	13,980	86.39%	86.37%
City of East St. Louis	20,292	27,006	75.14%	19,098	106.86%	106.25%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: May 31, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,196,600.00	\$3,433,270.92	\$0.00	\$763,329.08	81.81%
STATE PAID RETIREMENT	\$168,100.00	\$136,516.67	\$0.00	\$31,583.33	81.21%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$321,300.00	\$254,326.81	\$0.00	\$66,973.19	79.16%
CONTRACTUAL SERVICE	\$1,097,900.00	\$640,655.20	\$113,588.74	\$343,656.06	58.35%
TRAVEL	\$122,000.00	\$66,515.50	\$0.00	\$55,484.50	54.52%
PRINTING	\$41,000.00	\$15,937.68	\$0.00	\$25,062.32	38.87%
COMMODITIES	\$39,600.00	\$17,164.20	\$0.00	\$22,435.80	43.34%
EQUIPMENT	\$125,700.00	\$47,918.18	\$0.00	\$77,781.82	38.12%
TELECOMMUNICATIONS	\$145,500.00	\$106,482.47	\$0.00	\$39,017.53	73.18%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$2,182.00	\$0.00	\$2,718.00	44.53%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$461,300.00	\$294,071.41	\$0.00	\$167,228.59	63.75%
INTEREST PAYMENTS	\$1,800.00	\$1,675.00	\$0.00	\$125.00	0.00%
SUB-TOTAL (OPERATIONS)	\$6,740,700.00	\$5,016,716.04	\$113,588.74	\$1,610,395.22	74.42%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$253,270.24	\$9,067.00	\$287,662.76	46.05%
CO CLERK & RECORDER STIPENDS	\$644,800.00	\$0.00	\$0.00	\$644,800.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$1,347,100.00	\$0.00	\$0.00	100.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,119,100.00	\$414,456.45	\$0.00	\$704,643.55	37.03%
REDISTRICTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$300,000.00	\$3,857.93	\$0.00	\$296,142.07	1.29%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$29,704.25	\$40,625.75	\$29,670.00	0.00%
SUPP. APPROP. - IVRS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUPP. APPROP. - ELECTION DAY JUDGE	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$4,061,000.00	\$1,795,118.63	\$40,625.75	\$2,225,255.62	44.20%
TOTAL APPROPRIATION	\$10,801,700.00	\$6,811,834.67	\$154,214.49	\$3,835,650.84	63.06%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: May 31, 2013	<u>FY13 APPROPRIATION</u>	<u>YEAR TO DATE EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF EXPENDITURE</u>
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$3,000.00	\$610.47	\$2,389.53	20.35%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00		\$1,000.00	0.00%
1239 Rental, NEC	\$200.00	\$196.68	\$3.32	98.34%
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$15,200.00	\$12,221.80	\$2,978.20	80.41%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00		\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$800.00		\$800.00	0.00%
TRAVEL	\$18,800.00	\$18,729.63	\$70.37	99.63%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00		\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

<u>BOARD GRAND TOTAL</u>	<u>FY13 APPROPRIATION</u>	<u>YEAR TO DATE EXPENDITURE</u>	<u>OBLIGATED MONEY</u>	<u>BALANCE</u>
CONTRACTUAL SERVICE	\$21,800.00	\$13,028.95		\$8,771.05
TRAVEL	\$18,800.00	\$18,729.63		\$70.37
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$41,300.00	\$31,758.58	\$0.00	\$9,541.42

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: May 31, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$742,400.00	\$529,649.86	\$212,750.14	71.34%
1129 State Paid Retirement	\$29,700.00	\$21,228.61	\$8,471.39	71.48%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$56,800.00	\$38,917.66	\$17,882.34	68.52%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$500.00	\$48.98	\$451.02	9.80%
1205 Freight Express & Drayage	\$700.00		\$700.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$6,112.49	\$6,887.51	47.02%
1223 Repair/Maint. Real Property	\$2,000.00	\$630.46	\$1,369.54	31.52%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$28,400.00	\$2,345.61	\$26,054.39	8.26%
1232 Rental, Motor Vehicles	\$7,200.00	\$7,200.00	\$0.00	100.00%
1233 Rental, Real Property	\$392,000.00	\$391,995.00	\$5.00	100.00%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00	\$490.00	\$510.00	49.00%
1240 Statistical & Tabulation Services	\$17,300.00	\$17,266.06	\$33.94	99.80%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$75,000.00	\$51,723.48	\$23,276.52	68.96%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$2,799.00	\$1.00	99.96%
1276 Reg. Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00	\$1,236.50	\$963.50	56.20%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$4,478.78	\$7,021.22	38.95%
TRAVEL				
1291 In-State	\$12,200.00	\$3,394.24	\$8,805.76	27.82%
1292 Out-of-State	\$1,000.00		\$1,000.00	0.00%
1295 Mileage	\$800.00	\$707.70	\$92.30	88.46%
PRINTING	\$9,800.00	\$8,372.67	\$1,427.33	85.44%
COMMODITIES				
1304 Office/Library Supplies	\$14,200.00	\$4,544.64	\$9,655.36	32.00%
1306 Food for Human Consumption				
1335 Rock Salt	\$100.00	\$22.99	\$77.01	22.99%
1391 Household & Cleaning Supplies	\$500.00	\$428.52	\$71.48	85.70%
1394 Office/Library Equip., Not exc. \$100	\$100.00	\$31.98	\$68.02	31.98%
1395 Small Tools Not Exceeding \$100	\$100.00	\$9.99	\$90.01	9.99%
1398 Equipment, NEC	\$500.00	\$24.33	\$475.67	4.87%
1399 Commodities, NEC	\$600.00	\$270.00	\$330.00	45.00%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00	\$800.48	\$4,199.52	16.01%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$41,762.27	\$9,237.73	81.89%
1722 Rental, Data Comm. Serv. & Equip.	\$82,800.00	\$59,076.70	\$23,723.30	71.35%
1728 Videoconferencing	\$7,200.00	\$5,643.50	\$1,556.50	78.38%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00		\$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$2,182.00	\$718.00	75.24%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
ADMINISTRATION GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF BALANCE EXPENDITURE
PERSONAL SERVICE	\$742,400.00	\$529,649.86		\$212,750.14 71.34%
STATE PAID RETIREMENT	\$29,700.00	\$21,228.61		\$8,471.39 71.48%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00 0.00%
SOCIAL SECURITY	\$56,800.00	\$38,917.66		\$17,882.34 68.52%
CONTRACTUAL SERVICE	\$555,900.00	\$486,326.36		\$69,573.64 87.48%
TRAVEL	\$14,000.00	\$4,101.94		\$9,898.06 29.30%
PRINTING	\$9,800.00	\$8,372.67		\$1,427.33 85.44%
COMMODITIES	\$16,100.00	\$5,332.45		\$10,767.55 33.12%
EQUIPMENT	\$6,000.00	\$800.48		\$5,199.52 13.34%
TELECOMMUNICATIONS	\$145,500.00	\$106,482.47		\$39,017.53 73.18%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$2,182.00		\$2,718.00 44.53%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00 0.00%
TOTAL	\$1,581,100.00	\$1,203,394.50	\$0.00	\$377,705.50 76.11%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: May 31, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,658,900.00	\$1,324,302.18	\$334,597.82	79.83%
1129 State Paid Retirement	\$66,400.00	\$52,698.56	\$13,701.44	79.37%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$127,000.00	\$98,433.37	\$28,566.63	77.51%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,600.00		\$2,600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip	\$500.00		\$500.00	0.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$24,900.00		\$24,900.00	0.00%
1266 Court Reporting & Filing Services	\$200.00	\$108.50	\$91.50	54.25%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$0.00	\$4,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$258.00	\$742.00	25.80%
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,600.00	\$459.00	\$3,141.00	12.75%
1277 Association Dues	\$2,200.00	\$637.00	\$1,563.00	28.95%
1279 Employee Tuition & Fees	\$800.00		\$800.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,500.00		\$1,500.00	0.00%
1284 Software	\$100.00	\$59.95	\$40.05	59.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$400.00		\$400.00	0.00%
TRAVEL	\$55,000.00	\$24,884.96	\$30,115.04	45.25%
PRINTING	\$10,300.00	\$2,506.21	\$7,793.79	24.33%
EQUIPMENT				
1510 Office Furniture & Equipment	\$3,300.00	\$1,927.25	\$1,372.75	58.40%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$253,270.24	\$296,729.76	46.05%
ELECTION CODE BOOKS	\$15,000.00		\$15,000.00	0.00%
Redistricting				
Election Judge Reimbursements	\$1,347,100.00	\$1,347,100.00	\$0.00	100.00%
Stipends	\$644,800.00		\$644,800.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation	\$300,000.00	\$3,857.93	\$296,142.07	1.29%
Electronic Canvassing Interest Payments				
IDIS Replacement System	\$100,000.00	\$29,704.25	\$70,295.75	0.00%
Supp. Approp. - Election Day Judge	\$0.00		\$0.00	0.00%
ELECTIONS GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF BALANCE EXPENDITURE
PERSONAL SERVICE	\$1,658,900.00	\$1,324,302.18		79.83%
STATE PAID RETIREMENT	\$66,400.00	\$52,698.56		79.37%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		0.00%
SOCIAL SECURITY	\$127,000.00	\$98,433.37		77.51%
CONTRACTUAL SERVICE	\$42,300.00	\$1,622.45		3.84%
TRAVEL	\$55,000.00	\$24,884.96		45.25%
PRINTING	\$10,300.00	\$2,506.21		24.33%
EQUIPMENT	\$3,300.00	\$1,927.25		58.40%
HAVA - Maintenance of Effort	\$550,000.00	\$253,270.24	\$9,067.00	46.05%
ELECTION CODE BOOKS	\$15,000.00	\$0.00		0.00%
Redistricting	\$0.00	\$0.00		0.00%
Election Judge Reimbursements	\$1,347,100.00	\$1,347,100.00		100.00%
Stipends	\$644,800.00	\$0.00		0.00%
Early Voting Election Judges	\$0.00	\$0.00		0.00%
State HAVA Match	\$0.00	\$0.00		0.00%
Voting System Integrity Center	\$0.00	\$0.00		0.00%
Electronic Canvassing Implementation	\$300,000.00	\$3,857.93		1.29%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		0.00%
IDIS Replacement System	\$100,000.00	\$29,704.25	\$40,625.75	0.00%
Supp. Approp. - Election Day Judge	\$0.00	\$0.00		0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		0.00%
TOTAL	\$4,920,100.00	\$3,140,307.40	\$49,692.75	\$1,730,099.85 63.83%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: May 31, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$302,600.00	\$278,585.00	\$24,015.00	92.06%
1129 State Paid Retirement	\$12,100.00	\$11,152.46	\$947.54	92.17%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$23,200.00	\$20,788.61	\$2,411.39	89.61%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$500.00		\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$100,000.00	\$21,702.61	\$78,297.39	21.70%
1245 Professional & Artistic Services, NEC	\$20,000.00		\$20,000.00	0.00%
1266 Court Reporting & Filing Services	\$16,000.00	\$9,288.25	\$6,711.75	58.05%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$2,000.00	\$419.00	\$1,581.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$133.42	\$866.58	13.34%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$502.00	\$498.00	0.00%
1277 Association Dues	\$1,200.00	\$986.00	\$214.00	82.17%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$7,500.00		\$7,500.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$6,000.00	\$5,613.72	\$386.28	93.56%
TRAVEL	\$12,000.00	\$7,025.77	\$4,974.23	58.55%
EQUIPMENT				
1510 Office Furniture & Equipment	\$2,000.00	\$148.35	\$1,851.65	7.42%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$100.00	\$66.42	\$33.58	66.42%

GENERAL COUNSEL GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$302,600.00	\$278,585.00		\$24,015.00	92.06%
STATE PAID RETIREMENT	\$12,100.00	\$11,152.46		\$947.54	92.17%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$23,200.00	\$20,788.61		\$2,411.39	89.61%
CONTRACTUAL SERVICE	\$155,200.00	\$38,645.00	38,297.39	\$78,257.61	24.90%
TRAVEL	\$12,000.00	\$7,025.77		\$4,974.23	58.55%
EQUIPMENT	\$2,000.00	\$148.35		\$1,851.65	7.42%
INTEREST PAYMENTS	\$100.00	\$66.42		\$33.58	0.00%
TOTAL	\$507,200.00	\$356,411.61	\$38,297.39	\$112,491.00	70.27%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
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CAMPAIGN DISCLOSURE MONTH ENDING: May 31, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$787,600.00	\$648,643.68	\$138,956.32	82.36%
1129 State Paid Retirement	\$31,600.00	\$25,955.25	\$5,644.75	82.14%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$60,300.00	\$47,606.28	\$12,693.72	78.95%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,200.00	\$1,060.00	\$140.00	88.33%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)	\$300.00	\$300.00	\$0.00	100.00%
1277 Association Dues				
1279 Employee Tuition and Fees	\$300.00		\$300.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	\$6,900.00	\$622.68	\$6,277.32	9.02%
1292 Out-of-State	\$3,300.00	\$974.52	\$2,325.48	29.53%
1295 Mileage	\$1,100.00	\$1,013.47	\$86.53	92.13%
PRINTING	\$20,200.00	\$5,058.80	\$15,141.20	25.04%
EQUIPMENT				
1510 Office Furniture & Equipment	\$800.00	\$121.20	\$678.80	15.15%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

CAMPAIGN DISCLOSURE GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$787,600.00	\$648,643.68		\$138,956.32	82.36%
STATE PAID RETIREMENT	\$31,600.00	\$25,955.25		\$5,644.75	82.14%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$60,300.00	\$47,606.28		\$12,693.72	78.95%
CONTRACTUAL SERVICE	\$1,900.00	\$1,360.00		\$540.00	71.58%
TRAVEL	\$11,300.00	\$2,610.67		\$8,689.33	23.10%
PRINTING	\$20,200.00	\$5,058.80		\$15,141.20	25.04%
EQUIPMENT	\$800.00	\$121.20		\$678.80	15.15%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$913,700.00	\$731,355.88	\$0.00	\$182,344.12	80.04%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
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INFORMATION TECHNOLOGY MONTH ENDING: May 31, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$705,100.00	\$652,090.20	\$53,009.80	92.48%
1129 State Paid Retirement	\$28,300.00	\$25,481.79	\$2,818.21	90.04%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$54,000.00	\$48,580.89	\$5,419.11	89.96%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	\$13,300.00		\$13,300.00	0.00%
1223 Repair/Maint. Real Property				
1225 Repair/Maint. EDP Equipment	\$66,700.00	\$13,928.14	\$52,771.86	20.88%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$1,600.00	\$1,269.00	\$331.00	79.31%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$83,800.00		\$83,800.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$584.00	\$416.00	58.40%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00		\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00	\$7,321.00	\$679.00	91.51%
1284 Computer Software	\$119,400.00	\$76,080.30	\$43,319.70	63.72%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$18,200.00	\$490.00	\$17,710.00	2.69%
TRAVEL				
1291 In-State	\$4,800.00	\$4,475.79	\$324.21	93.25%
1292 Out-of-State	\$5,400.00	\$4,260.90	\$1,139.10	78.91%
1295 Mileage	\$700.00	\$425.84	\$274.16	60.83%
PRINTING	\$700.00		\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$22,200.00	\$10,625.74	\$11,574.26	47.86%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100	\$100.00	\$48.69	\$51.31	48.69%
1395 Small Tools < \$100				
1398 Equipment, NEC	\$1,200.00	\$1,157.32	\$42.68	96.44%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment	\$300.00	\$274.95	\$25.05	91.65%
1515 EDP Equipment	\$112,600.00	\$44,645.95	\$67,954.05	39.65%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$1,700.00	\$1,608.58	\$91.42	94.62%

INFORMATION TECHNOLOGY GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$705,100.00	\$652,090.20		\$53,009.80	92.48%
STATE PAID RETIREMENT	\$28,300.00	\$25,481.79		\$2,818.21	90.04%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$54,000.00	\$48,580.89		\$5,419.11	89.96%
CONTRACTUAL SERVICE	\$320,800.00	\$99,672.44	\$75,291.35	\$145,836.21	31.07%
TRAVEL	\$10,900.00	\$9,162.53		\$1,737.47	84.06%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$23,500.00	\$11,831.75		\$11,668.25	50.35%
EQUIPMENT	\$112,900.00	\$44,920.90		\$67,979.10	39.79%
INTEREST PAYMENTS	\$1,700.00	\$1,608.58		\$91.42	0.00%
TOTAL	\$1,257,900.00	\$893,349.08	\$75,291.35	\$289,259.57	71.02%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM <u>MONTH ENDING: May 31, 2013</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$253,276.50
1129 State Paid Retirement	\$10,131.40
1161 Retirement	
1170 Social Security	\$18,426.60
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	\$1,249.28
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$9,501.60
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$524.04
PRINTING	\$498.50
COMMODITIES	
1304 Office Supplies	\$183.50
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	\$279.99
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	\$414,456.45
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
SUPP. APPROP. - IVRS	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$461,300.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$294,071.41
REMAINING LUMP SUM APPROPRIATION	\$167,228.59
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,119,100.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$414,456.45
REMAINING LUMP SUM APPROPRIATION	\$704,643.55
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$708,527.86
REMAINING LUMP SUM APPROPRIATION	\$871,872.14
SUPP. APPROP - IVRS	\$0.00
TOTAL SUPP. APPROP. - IVRS	\$0.00
REMAINING SUPP. APPROP. - IVRS	\$0.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
MONTH ENDING: May 31, 2013						
CASH BALANCE AT BEGINNING OF YR	\$927,591.71	\$0.00	-\$53,938.40	\$5,854,560.12	\$7,358,754.80	\$14,086,968.23
Program Revenues from Federal Govt			\$328,826.30			\$328,826.30
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$2,465.94			\$17,542.99	\$21,089.07	\$41,098.00
Interest Penalties Received					\$34.65	\$34.65
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$2,465.94	\$0.00	\$328,826.30	\$17,542.99	\$21,123.72	\$369,958.95
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$72,005.00	\$0.00	\$0.00	\$0.00	\$0.00	\$72,005.00
STATE-PAID RETIREMENT	\$2,881.30	\$0.00	\$0.00	\$0.00	\$0.00	\$2,881.30
RETIREMENT	\$27,362.14	\$0.00	\$0.00	\$0.00	\$0.00	\$27,362.14
SOCIAL SECURITY	\$5,249.32	\$0.00	\$0.00	\$0.00	\$0.00	\$5,249.32
GROUP INSURANCE	\$26,145.02	\$0.00	\$0.00	\$0.00	\$0.00	\$26,145.02
CONTRACTUAL SERVICE	\$1,020.00	\$0.00	\$0.00	\$582,247.84	\$0.00	\$583,267.84
TRAVEL	\$13,718.99	\$0.00	\$0.00	\$0.00	\$0.00	\$13,718.99
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$311.52	\$0.00	\$0.00	\$0.00	\$0.00	\$311.52
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$192,294.68	\$0.00	\$7,294.93	\$860,597.82	\$0.00	\$1,060,187.43
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$340,987.97	\$0.00	\$7,294.93	\$1,442,845.66	\$0.00	\$1,791,128.56
AWARDS & GRANTS	\$0.00	\$0.00	\$267,592.97	\$0.00	\$2,011,444.48	\$2,279,037.45
TOTAL CASH EXPENDITURES	\$340,987.97	\$0.00	\$274,887.90	\$1,442,845.66	\$2,011,444.48	\$4,070,166.01
CASH BALANCE AT END OF MONTH	\$589,069.68	\$0.00	\$0.00	\$4,429,257.45	\$5,368,434.04	\$10,386,761.17

STATE BOARD OF ELECTIONS
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 Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: May 31, 2013

	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE	\$72,005.00	\$72,005.00
1129 State Paid Retirement	\$2,881.30	\$2,881.30
1161 Retirement	\$27,362.14	\$27,362.14
1170 Social Security	\$5,249.32	\$5,249.32
1180 Group Insurance	\$26,145.02	\$26,145.02
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)	\$990.00	\$990.00
1276 Registration Fees & Conf. Exp. (Employee)	\$30.00	\$30.00
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State & 1293 In-State (to vendor)	\$2,730.78	\$2,730.78
1292 Out-of-State	\$8,219.24	\$8,219.24
1295 Mileage	\$2,768.97	\$2,768.97
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment	\$0.00	
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.	\$311.52	\$311.52
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund	\$192,294.68	\$192,294.68
GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments (PPA)		
4470 Grants to Local Governments (EAI)		
4470 Grants to Local Governments (AAG)		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

SECTION 101 GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$72,005.00	\$72,005.00	
STATE PAID RETIREMENT	\$2,881.30	\$2,881.30	
RETIREMENT	\$27,362.14	\$27,362.14	
SOCIAL SECURITY	\$5,249.32	\$5,249.32	
GROUP INSURANCE	\$26,145.02	\$26,145.02	
CONTRACTUAL SERVICE	\$1,020.00	\$1,020.00	
TRAVEL	\$13,718.99	\$13,718.99	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$311.52	\$311.52	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$192,294.68	\$192,294.68	
GRANTS	\$0.00	\$0.00	
TOTAL	\$340,987.97	\$340,987.97	\$0.00

STATE BOARD OF ELECTIONS
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SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: May 31, 2013

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL **\$0.00**

\$0.00 **\$0.00**

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SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: May 31, 2013

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$7,294.93

\$7,294.93

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV)

\$267,592.97

\$267,592.97

SECTION 261 GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$7,294.93	\$7,294.93
GRANTS	\$267,592.97	\$267,592.97

TOTAL	\$274,887.90	\$274,887.90	\$0.00
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STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: May 31, 2013

FY13
APPROPRIATION **YEAR TO DATE**
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

	\$537,649.55	\$537,649.55
	\$13,047.56	\$13,047.56
	\$31,550.73	\$31,550.73

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

	\$860,597.82	\$860,597.82
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GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$0.00

SECTION 251 (OLD REQ) GRAND TOTAL

	FY13	YEAR TO DATE	OBLIGATED
	APPROPRIATION	EXPENDITURE	MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$582,247.84	\$582,247.84	\$358,783.55
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$860,597.82	\$860,597.82	
GRANTS	\$0.00	\$0.00	

TOTAL	\$1,442,845.66	\$1,442,845.66	\$358,783.55
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STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: May 31, 2013

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
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1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$2,011,444.48

\$2,011,444.48

SECTION 251 (NEW REQ) GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$2,011,444.48	\$2,011,444.48

TOTAL	\$2,011,444.48	\$2,011,444.48	\$0.00
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Start Date	End Date	Activity	Division
5 /24/2013		(date subject to change) Final day on which all HOUSE bills may be called for a final SENATE vote on 3rd reading. (Senate Rule) Final day on which all SENATE bills may be called for a final HOUSE vote on 3rd reading. (House Rule)	LEG
5 /25/2013		Last day for election authorities to submit request for extension to file computerized voter registration information for the May 15, 2013 submission. Rules and Regulations	VRS
5 /25/2013		Last day for election authority to submit computerized voter registration file for the May 15, 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /31/2013		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2013 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
5 /31/2013		General Assembly Adjournment (House/Senate Rule)	LEG
6 /10/2013		Begin work on post session BILL RECOMMENDATIONS to the Board and Governor's office. [10 ILCS 5/1A-8(8)]	LEG
6 /18/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
6 /24/2013		Send notice to election authorities who failed to submit a computerized voter registration file, for the May 15, 2013 submission. Rules and Regulations	VRS
6 /24/2013		Send to each election authority a current computerized voter registration error report, from the May 15, 2013 submission	VRS
7 /1 /2013		Begin preparing the CANDIDATE'S GUIDE for 2015. Guide should be ready by end of July 2012. 10 ILCS 5/1A-8 (1, 2, 3, 7, 11)	ET&RD
7 /1 /2013		Begin preparing the LOCAL ELECTION OFFICIALS HANDBOOK for the 2015 Consolidated Elections. Publication should be ready by end of July 2014. 10 ILCS 5/1A-8 (1, 2, 3, 7, 11)	ET&RD

Start Date	End Date	Activity	Division
7 /1 /2013		Begin updating the SBE GUIDE FOR POLLWATCHERS. (Complete by 9/3/2013.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
7 /1 /2013		First day that any political committee shall file its 2013 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
7 /1 /2013		Start bidding process for Election Code books covering laws passed in 2012 legislative session. 10 ILCS 5/1-8(1, 2, 4 &11)	ET&RD
7 /1 /2013		Target date for completion of Canididates Guide for 2014. Project started 1/2/2013. 10 ILCS 5/1A-8 (1,2, 4,7,11)	ET&RD
7 /1 /2013		Update ELECTION AUTHORITY GUIDELINES Book for 2014. 10 ILCS 5/1A-8 (1, 2, 3, 4, 11)	ET&RD
7 /1 /2013		Begin review and update of DEPUTY REGISTRAR GUIDELINES booklet. Complete by 9/3/2013. 10 ILCS 5/1A-8 (1, 2, 12)	ET&RD
7 /1 /2013		Begin compilation of petition filing packets for 2014 General Primary Election. 10 ILCS 5/1A-8(4)	EL INFO
7 /1 /2013		Begin plans for preparing the TWO-YEAR PLAN FOR 2014-2015. Target completion date: 9/30/2013.	ET&RD
7 /1 /2013		Start review and update MILITARY/OVERSEAS booklet. Complete by 9/3/2013. 10 ILCS 5/1A-8 (1,2,3,7,11)	ET&RD
7 /1 /2013		STATUTORY BOARD MEETING. Swearing-in ceremony, election of chairman and vice chairman, monthly meeting. 10 ILCS 5/1A-8 (6)	EXEC DIR
7 /1 /2013		Complete JUDGES' TRAINING PROGRAM and JUDGES' EXAM update. Project started 4/8/2013. 10 ILCS 5/1A-8 (1, 2, 6, 11)	ET&RD
7 /1 /2013		Begin plans to update all agency BROCHURES. (Complete by 9/16/2013) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD

Start Date	End Date	Activity	Division
7 /9 /2013		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the May 15, 2013 submission	VRS
7 /15/2013		Last day that a political committee shall file its 2013 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
8 /1 /2013		Begin preparation of packet materials for 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed August 30, 2013. 10 ILCS 5/9-15.	CAMP DISC
8 /1 /2013		Update Legislation by topic and year binder.	LEG
8 /1 /2013		Begin updating the military & overseas voter guidelines booklet.	LEG
8 /1 /2013		Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
8 /1 /2013		Begin planning for the printing of campaign finance notices for the November/December petition filing for state and local candidates. 10 ILCS 5/9-16.	CAMP DISC
8 /15/2013		Target date for completion of Election Judge Manuals of Instruction for 2014. Project started 4/8/2013. 10ILCS 5/1A-8 (1, 2, 3, 4, 11)	ET&RD
8 /15/2013		The date that a second letter goes out to all committees that have failed to the file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2013 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.	CAMP DISC
8 /20/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR

5. Follow up.
6. Comments from the general public.
7. Next regular Board meeting at 10:30 a.m. on Monday, July 1, 2013 in Springfield.
8. Executive session.